



September 30, 2013

**VIA FIRST CLASS MAIL**

The Honorable Robert J. Bentley  
Office of the Governor  
State Capitol N-104  
600 Dexter Avenue  
Montgomery, AL 36130-2751  
E-mail: [governorrobertbentley@governor.state.al.us](mailto:governorrobertbentley@governor.state.al.us)

**RE: Potential Liability for Misuse of Federal Grant Funds**

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Dear Governor Bentley:

We write on behalf of Cause of Action, a non-profit, nonpartisan government accountability organization that uses investigative, legal and communications tools to educate the public on how government transparency and accountability protect economic opportunity for American taxpayers.

The purpose of this letter is to notify the Alabama, as well as entities, contractors, subgrantees, subrecipients and subcontractors who are utilized in furtherance of federally-

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<sup>1</sup> Please do not convey to Cause of Action any information you regard as confidential unless and until a formal lawyer-client relationship has been established, as any such information received will not be treated as confidential or privileged. Cause of Action provides this correspondence and the information contained herein solely as a convenience to Alabama's Office of the Governor, Office of the Attorney General, Office of the Secretary of State, and any other Alabama government offices or related affiliates.

facilitated exchanges,<sup>2</sup> regarding potential liabilities that may apply if any of these entities or their agents misuse the taxpayer funds they receive.

On November 13, 2012, you announced that Alabama would default to a federally-facilitated exchange.<sup>3</sup> In an effort to market and promote the Patient Protection and Affordable Care Act (PPACA), HHS has authorized navigator, assister, application counselor, and other consumer outreach programs (collectively, “Enrollment Assistance Programs”) with the stated goal of helping citizens enroll in exchange health plans.<sup>4</sup> On August 14, 2013, thirteen Attorneys General (AG) sent a letter to HHS Secretary Sebelius identifying the lack of programmatic safeguards necessary to protect consumers’ private healthcare data in these Enrollment Assistance Programs.<sup>5</sup> The AG’s letter specifically noted that consumers would “hand over all their individual data to a minimally screened and virtually unaccountable ‘counselor’” making consumer privacy a “catch-as-catch-can,” and that “without more protections, this is a privacy disaster waiting to happen.”<sup>6</sup> These concerns are heightened following a recent report from the HHS Office of Inspector General, which notes that the Centers for Medicare and Medicaid Services (CMS) has missed multiple deadlines for analyzing security risks in the Federal Data Services Hub.<sup>7</sup>

Alabama received an \$1,180,312 State Planning Grant and a \$8,592,139 Level One Establishment Grant through the Center for Consumer Information and Insurance Oversight (CCIIO), a subdivision of HHS.<sup>8</sup> The Planning Grant was intended to assist Alabama with initial planning and design activities related to implementing a state exchange,<sup>9</sup> including, but not

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<sup>2</sup> This would include any future Federal Grants for Navigators, in-person assisters, certified application counselors, and any Federal funding relating to the System for Electronic Rates and Form Filings (SERFF), Enroll UX2014 program and any application programming interface with the Federal Data Services Hub.

<sup>3</sup> Press Release, The Office of Ala. Gov. Robert J. Bentley, Governor Bentley Announces Alabama Will Not Set Up State Insurance Exchange (Nov. 13, 2012), *available at* <http://governor.alabama.gov/newsroom/2012/11/governor-bentley-announces-alabama-will-not-set-up-state-insurance-exchange/>; *see also* The Henry J. Kaiser Family Foundation, *State Exchange Profiles: Alabama*, 1-2 (Dec. 4, 2012) [hereinafter *State Exchange Profiles*], *available at* <http://kff.org/health-reform/state-profile/state-exchange-profiles-alabama/>.

<sup>4</sup> 45 C.F.R. § 155.205 (2012) (Consumer assistance tools and programs of an Exchange); 45 C.F.R. § 155.210 (2012) (Navigator program standards).

<sup>5</sup> Letter from Patrick Morrissey, Att’y Gen., State of W. Va., *et al.*, to Kathleen Sebelius, Sec’y, U.S. Dep’t of Health & Human Servs. (Aug. 14, 2013) at 2, 5, *available at*

[https://www.oag.state.tx.us/newspubs/releases/2013/Letter\\_to\\_HHS\\_re\\_Data\\_Privacy\\_final\\_8\\_14\\_13\\_.pdf](https://www.oag.state.tx.us/newspubs/releases/2013/Letter_to_HHS_re_Data_Privacy_final_8_14_13_.pdf).

<sup>6</sup> *Id.*, at 2, 5.

<sup>7</sup> Letter from Sen. Mitch McConnell, Ranking Member, U.S. Sen., to Marilyn Tavenner, Adm’r, Ctrs. for Medicare & Medicaid Servs. (Aug. 12, 2013), *available at*

<http://cnsnews.com/sites/default/files/documents/McConnell%20letter%20to%20CMS.pdf>.

<sup>8</sup> U.S. Dep’t of Health & Human Servs., Ctrs. for Medicare & Medicaid Servs., Ctr. for Consumer Info. & Ins. Oversight, *Alabama Health Insurance Marketplace Grants Awards List*, [hereinafter *Alabama Grants List*], *available at* <http://www.cms.gov/ccio/Resources/Marketplace-Grants/al.html> (last visited Sept. 27, 2013); Annie L. Mach and C. Stephen Redhead, *Status of Federal Funding for State Implementation of Health Insurance Exchanges*, Congressional Research Service (June 19, 2013), at 6, *available at* <http://www.fas.org/sgp/crs/misc/R43066.pdf>.

<sup>9</sup> U.S. Dep’t of Health & Human Servs., Ctrs. for Medicare & Medicaid Servs., Ctr. for Consumer Info. & Ins. Oversight, *State Planning & Establishment Grants for the Affordable Care Act’s Exchanges* (July 29, 2010) [hereinafter *State Planning Grant Funding Announcement*], *available at*

limited to, determining potential governance structures for the exchange, and seeking appropriate legal and regulatory authority to establish the exchange. Alabama's Level I Establishment Grant funding is being utilized to fund the Navigator program,<sup>10</sup> in-person assisters, application counselors and other outreach functions in furtherance of the anticipated October 1, 2013 federally-facilitated exchange start date.<sup>11</sup> Alabama was one of ten states to receive assistance from the Robert Wood Johnson Foundation (RWJF) through the State Health Reform Assistance Network.<sup>12</sup> The RWJF provided Alabama with technical assistance in setting up exchanges, as well as expanding Medicaid to newly eligible populations.<sup>13</sup> On August 15, 2013, five entities in Alabama received Navigator Grants<sup>14</sup> from CMS totaling \$1,443,986.<sup>15</sup> Entities in Alabama also received thirteen awards from HHS's Health Resources and Services Administration (HRSA) totaling \$2,424,896 for health center outreach and enrollment assistance under the PPACA.<sup>16</sup> In light of these allocated funds provided by HHS, Cause of Action writes to identify potential liability pitfalls Alabama may face when an individual or entity connected to a federally-facilitated exchange makes fraudulent claims.

### **Compliance Risks Associated with the Funding Opportunity Terms**

Any entity receiving Grant Funds through CCIIO is restricted in its use of the funds. As CCIIO's Funding Opportunity Announcement for State Planning Grants states, Grant Funds may not be used, for example, toward any other Federal program requirements, to cover certain executive compensation, or to cover costs associated with providing direct services to individuals.<sup>17</sup> States receiving CCIIO Grant Funds are also prohibited from using such funds to improve information technology systems or processes solely related to Medicaid or the

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[http://www.cms.gov/CCIIO/Resources/Funding-Opportunities/Downloads/exchange\\_planning\\_grant\\_foa.pdf](http://www.cms.gov/CCIIO/Resources/Funding-Opportunities/Downloads/exchange_planning_grant_foa.pdf); *see also Alabama Grants List, supra* note 8.

<sup>10</sup> Under 45 C.F.R. § 155.210, each exchange must establish a Navigator program to assist people with healthcare enrollment. State agencies may serve as Navigators. 45 C.F.R. § 155.210(c).

<sup>11</sup> U.S. Dep't of Health & Human Servs., Ctrs. for Medicare & Medicaid Servs., Ctr. for Consumer Info. & Ins. Oversight, *Cooperative Agreement to Support the Establishment of the Affordable Care Act's Health Insurance Exchanges*, 1, 36-37 (Nov. 30, 2012) [hereinafter *Establishment Grant Funding Announcement*], available at <http://www.cms.gov/CCIIO/Resources/Funding-Opportunities/Downloads/amended-spring-2012-establishment-foa.pdf>; *see also Alabama Grants List, supra* note 8 (receiving \$1,000,000 on Sept. 30, 2010 and \$180,312 on Dec. 22, 2011 (Planning); and, \$8,592,139 on Nov. 29, 2011 (Level I)).

<sup>12</sup> *See State Exchange Profiles, supra* note 3, at 1.

<sup>13</sup> *Id.*

<sup>14</sup> Under 45 C.F.R. § 155.210, each exchange must establish a Navigator program to assist people with healthcare enrollment. State agencies may serve as Navigators. 45 C.F.R. § 155.210(c).

<sup>15</sup> U.S. Dep't of Health & Human Servs., Ctrs. For Medicare & Medicaid Servs., *Navigator Grant Recipients*, 1-2 (Aug. 15, 2013), available at <http://www.cms.gov/CCIIO/Programs-and-Initiatives/Health-Insurance-Marketplaces/Downloads/navigator-list-8-15-2013.pdf>; *see also* Appendix A.

<sup>16</sup> U.S. Dep't of Health & Human Servs., Health Res. & Servs. Admin., Alabama: Health Center Outreach & Enrollment Assistance, available at <http://www.hrsa.gov/about/news/2013tables/outreachandenrollment/al.html> (last visited Sept. 27, 2013); *see also* Appendix A.

<sup>17</sup> *State Planning Grant Funding Announcement, supra* note 9, at 12, 17;

Children's Health Insurance Program, or any other state or Federal program's eligibility for such programs.<sup>18</sup>

The State of Alabama and entities within the state which are awarded Navigator Grants and/or HRSA PPACA Enrollment Grants will also be subject to other Federal restrictions. Neither the Navigator, HRSA Grant Funds nor the State Planning and Establishment Grant Funds may be used to cover pre-award costs, to match other Federal Funds, to carry out services that are the responsibility of the Alabama federally-facilitated exchange, or to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before any Federal, state or local legislature or legislative body.<sup>19</sup> The numerous restrictions HHS and CCIIO have placed on the use of Grant Funds may subject Alabama and its exchange contractors, subcontractors, subgrantees and subrecipients to a significant risk of liability under the False Claims Act, and subject Alabama to sanctions imposed by the Centers for Medicare and Medicaid Services and/or CCIIO, such as restrictions on the use of funds and/or termination of the awards.<sup>20</sup> In the event material misrepresentations are made, criminal charges and fines may also be imposed under 18 U.S.C. § 1001 (2012). If HHS fails to specifically articulate applicable privacy and security requirements for monitoring Enrollment Assistance Programs, there may be considerable uncertainty as to who could be liable if an Enrollment Assistance Program harms a consumer.<sup>21</sup>

### **Compliance with the Byrd Anti-Lobbying Amendment**

Section 1352 of Title 31 of the United States Code, the Byrd Anti-Lobbying Amendment, expressly prohibits recipients "of a Federal contract, grant, loan, or cooperative agreement" from using appropriated funds to "influenc[e] or attempt[] to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress" in connection with specified "Federal action[s]."<sup>22</sup> In response to a Senate inquiry concerning HHS Grant Funding under the Centers for Disease Control and Prevention's Communities Putting Prevention to Work program, the U.S. Department of Justice stated "[t]he Department is committed to investigating all credible allegations of illegal lobbying activity, which strikes at the heart of the democratic process."<sup>23</sup> To ensure that contractors, subcontractors, subgrantees, and subrecipients comply with Federal law, Alabama bears

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<sup>18</sup> *State Planning Grant Funding Announcement*, *supra* note 9, at 12, 17; *Establishment Grant Funding Announcement*, *supra* note 11, at 36-37. See also 2 C.F.R. pt. 225 (2013) regarding cost allocations.

<sup>19</sup> This list is not exhaustive. See also *State Planning Grant Funding Announcement*, *supra* note 9, at 12, 17.

<sup>20</sup> U.S. GOV'T ACCOUNTABILITY OFFICE, GAO-13-543, PATIENT PROTECTION AND AFFORDABLE CARE ACT—HHS'S PROCESS FOR AWARDED AND OVERSEEING EXCHANGE AND RATE REVIEW GRANTS TO STATES (May 31, 2013), available at <http://www.gao.gov/assets/660/654994.pdf>.

<sup>21</sup> See Letter from Patrick Morrissey, *supra* note 5, at 6.

<sup>22</sup> 31 U.S.C. § 1352 (2012).

<sup>23</sup> Letter from Peter J. Kadzik, Principal Deputy Assistant Att'y Gen., U.S. Dep't of Justice, to Sen. Patrick Leahy, Chairman, S. Comm. on the Judiciary (May 7, 2013), 1, 57, available at <http://www.judiciary.senate.gov/resources/transcripts/upload/061212QFRs-Holder.pdf>.

responsibility for preventing any appropriated Federal Funds from being used for any form of lobbying—direct or grassroots—regarding pending legislation.<sup>24</sup>

### **Compliance with OMB Circular A-133's Audit Requirements**

Any recipient or subrecipient of \$500,000 or more in Federal awards during a single fiscal year must comply with the audit requirements of the Office of Management and Budget's (OMB) Circular A-133, *Audits of States, Local Governments and Non-Profit Organizations*.<sup>25</sup> The Federal award recipient(s) must identify all Federal awards it receives and expends, establish and maintain internal controls to ensure it is managing the Federal award programs in compliance with all applicable laws, prepare regular financial statements, ensure audits are conducted at the required intervals, and take corrective action on any audit findings.<sup>26</sup> Any Federal award recipient who contracts with a for-profit subrecipient is further responsible for the for-profit subrecipient's compliance.<sup>27</sup> Such contract must describe the applicable compliance requirements and the for-profit subrecipient's compliance obligations.<sup>28</sup>

The Federal award recipient must select an auditor to conduct the audit.<sup>29</sup> Upon assessing the Federal award recipient's financial statements, internal controls, and compliance, the auditor will issue a report describing its findings.<sup>30</sup> The Federal award recipient is then responsible "for follow-up and corrective action on all audit findings," and must prepare a corrective action plan to address any problems identified by the audit.<sup>31</sup> Finally, the Federal award recipient must submit to the OMB's Federal clearinghouse a reporting package that includes its financial statements, schedule of expenditures of Federal awards, corrective action plan, and the auditor's reports.<sup>32</sup> Because Alabama received at least \$500,000 in Grant Funds, it must adhere to these requirements. Failure to comply with OMB Circular A-133 may result in suspension of Federal funding and may affect eligibility for future funding.<sup>33</sup>

### **Compliance with OMB Circular A-87's Cost Principles**

State and local entities that receive Federal funding must allocate their expenditures in accordance with OMB Circular A-87, *Costs Principles for State, Local, and Indian Tribal*

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<sup>24</sup> 18 U.S.C. § 1913 (2012).

<sup>25</sup> The Single Audit Act, as amended, applies to non-Federal governmental units, including subrecipients, which receive Federal awards of \$500,000 or more. See 31 U.S.C. § 7502 (2012); OFFICE OF MGMT. & BUDGET, EXEC. OFFICE OF THE PRESIDENT, OMB CIRCULAR No. A-133, AUDITS OF STATES, LOCAL GOVERNMENTS, AND NON-PROFIT ORGANIZATIONS at Subpart B, §§ 200(a), 210(a) (June 26, 2007) [hereinafter OMB CIRCULAR A-133], available at [http://www.whitehouse.gov/sites/default/files/omb/assets/a133/a133\\_revised\\_2007.pdf](http://www.whitehouse.gov/sites/default/files/omb/assets/a133/a133_revised_2007.pdf).

<sup>26</sup> OMB CIRCULAR A-133, *supra* note 25, § 300.

<sup>27</sup> *Id.* § 210(e).

<sup>28</sup> *Id.*

<sup>29</sup> *Id.* §§ 105, 200, 500.

<sup>30</sup> *Id.* §§ 500, 505, 510.

<sup>31</sup> *Id.* § 315.

<sup>32</sup> 31 U.S.C. § 7502(h) (2012); OMB CIRCULAR A-133, *supra* note 25, § 320(d).

<sup>33</sup> 31 U.S.C. §§ 7501 (2012) *et seq.*

*Governments*.<sup>34</sup> This includes adequately documenting all costs associated with administering the Grant Funds.<sup>35</sup> Recipients must submit a Certificate of Cost Allocation Plan<sup>36</sup> and a Certificate of Indirect Costs,<sup>37</sup> certifying they are in conformance with this OMB Circular.<sup>38</sup> If the recipient submits a false certification, it may be subject for treble damage liability under the False Claims Act. Also, any Federal award recipient that contracts with a commercial organization is subject to the cost principles and procedures of 48 C.F.R. part 31.2. Like OMB Circular A-87, these regulations dictate how a Federal award recipient is allowed to expend funds when contracting with a commercial organization. Specifically, any state that has received Federal Grant Funds to establish an exchange may be subject to this regulation if it has contracted with commercial organizations.

Alabama's federally-facilitated health insurance exchange is not currently subject to this OMB Circular. However, to the extent Alabama elects in the future to transition to a state-based or state-federal partnership exchange, all Federal Funds granted to the state for that purpose will be subject to OMB Circular A-87. Furthermore, because Alabama received (and has not returned) Federal Grant Funds under State Planning and Establishment Grants, it may be subject to the cost allocation principles and procedures of 48 C.F.R. part 31.2. Violation of these requirements may subject the State of Alabama to penalties under 48 C.F.R. § 52.242-3.

#### **False Claims Act, Corporate Governance, and Internal Best Practices**

Providing false information to the Federal government is strictly illegal under the Federal False Claims Act.<sup>39</sup> All persons are forbidden from knowingly or willfully falsifying or concealing a material fact, making materially false or fraudulent representations, or using any false writings or documents known to be materially fictitious.<sup>40</sup> Federal law similarly bars anyone from knowingly presenting false claims to any Federal government representative.<sup>41</sup> The Fraud Enforcement and Recovery Act of 2009 (FERA)<sup>42</sup> substantively amended the False Claims Act in the following areas: (1) FERA expanded the scope of the False Claims Act in re-defining a "claim";<sup>43</sup> (2) FERA eliminated the False Claims Act's "presentation" requirements;<sup>44</sup> (3) the False Claims Act's intent requirement was eliminated, such that all that is necessary for liability to attach is that such a statement has a "natural tendency to influence, or is capable of influencing

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<sup>34</sup> OFFICE OF MGMT. & BUDGET, EXEC. OFFICE OF THE PRESIDENT, OMB CIRCULAR NO. A-87, COST PRINCIPLES FOR STATE, LOCAL, AND INDIAN TRIBAL GOVERNMENTS at 5 (Attachment A, ¶ A(3) (Application)) (May 10, 2004) [hereinafter OMB CIRCULAR A-87], available at [http://www.whitehouse.gov/omb/circulars\\_a087\\_2004](http://www.whitehouse.gov/omb/circulars_a087_2004).

<sup>35</sup> OMB Circular A-87, *supra* note 34, at 9 (Attachment A, ¶ C(1) (Basic Guidelines)).

<sup>36</sup> *Id.* at 45 (Attachment C).

<sup>37</sup> *Id.* at 56 (Attachment E).

<sup>38</sup> *Id.* at 12 (Attachment A).

<sup>39</sup> 31 U.S.C. §§ 3729- 33 (2012).

<sup>40</sup> 18 U.S.C. § 1001 (2012).

<sup>41</sup> 18 U.S.C. § 287 (2012).

<sup>42</sup> Pub. L. 111-21, S. 386, 123 Stat. 1616 (2009).

<sup>43</sup> 31 U.S.C. § 3729(b)(2) (2012).

<sup>44</sup> *Id.*

the payment or receipt of money or property.”<sup>45</sup> The False Claims Act’s conspiracy provisions have also been expanded to include conspiracies to violate other provisions of the False Claims Act.<sup>46</sup> The FERA amendments also establish an express “materiality” requirement,<sup>47</sup> as well as “reverse false claims” and overpayment “retention” liability.<sup>48</sup> Additionally, Alabama’s subcontractors may face relator claims under the False Claims Act if the subcontractor misuses Federal Funds. Liability for violations under the False Claims Act starts at \$5,500 per occurrence, plus treble damages.<sup>49</sup> Alabama should also evaluate its State False Claims Act law(s), if applicable.

States must also institute procedures to promote compliance with the financial integrity provisions of section 1313 of the PPACA, including requirements related to accounting, reporting, auditing, cooperating with investigators, and adhering to the False Claims Act.<sup>50</sup> A comprehensive strategy to combat fraud will hedge against False Claims Act liabilities and ensure maximal compliance with other laws and regulations.

Absent a comprehensive strategy to combat fraud as required by PPACA § 1313, Alabama may face liability of its own and be subject to significant risk of reimbursing subcontractors for unlawful activity who may also face relator claims under the False Claims Act if Federal Funds are misused.<sup>51</sup> Given the significant taxpayer funds involved and the wide-ranging functions and responsibilities of Alabama as a federally-facilitated exchange, it is increasingly plausible that health insurers and subcontractors may misrepresent their credentials and that there will be ample opportunity for vigilant whistleblowers to file False Claims Act *qui tam* suits in response.

In conclusion, you may wish to evaluate whether Alabama, its contractors, subcontractors, subgrantees and subrecipients have the oversight capability to ensure that Federal Funds are used in a transparent, accountable, and legally compliant manner. You must promptly refer to the HHS Office of Inspector General any credible evidence that a principal, employee, agent, contractor, subrecipient, subcontractor or other person or entity has submitted a false claim under the False Claims Act, or has committed a criminal or civil violation pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct involving those funds. The HHS Office of Inspector General can be reached at <http://www.oig.hss.gov/fraud/hotline>.

If you have any questions regarding this letter, please contact me at 202-499-4232.

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<sup>45</sup> *Id.* at § 3729(b)(4).

<sup>46</sup> *Id.* at § 3729(b)(4); §§ 3729(a)(1)(C) and (b)(1-4).

<sup>47</sup> *Id.* at § 3729(a)(1)(C); *see supra*, note 42.

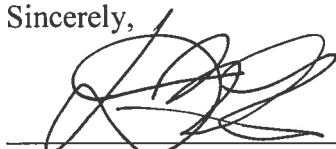
<sup>48</sup> 31 U.S.C. § 3729(a)(7); § 3729(b)(3); 73 Fed. Reg. 67,064, 67,091 (Nov. 12, 2008) (amending 48 C.F.R. § 9-406-2(b)(1)(vi)(C); 42 U.S.C. 1320a-7b(a)(3).

<sup>49</sup> 31 U.S.C. § 3729(a) (2012).

<sup>50</sup> *State Planning Grant Funding Announcement*, *supra* note 9, at 12, 17.

<sup>51</sup> 31 U.S.C. §§ 3729-33 (2012).

Sincerely,



DANIEL Z. EPSTEIN  
EXECUTIVE DIRECTOR

cc: Hon. Patrick Leahy, Chairman, Senate Committee on the Judiciary  
Hon. Charles Grassley, Ranking Member, Senate Committee on the Judiciary  
Hon. Orrin Hatch, Ranking Member, Senate Committee on Finance  
Hon. Tom Harkin, Chairman, Senate Committee on Health, Education, Labor and Pensions  
Hon. Lamar Alexander, Ranking Member, Senate Committee on Health, Education, Labor, and Pensions  
Hon. Johnny Isakson, Vice Chairman, Senate Select Committee on Ethics  
Hon. Darrell Issa, Chairman, House Committee on Oversight and Government Reform  
Hon. Fred Upton, Chairman, House Committee on Energy and Commerce  
Hon. Elijah Cummings, Ranking Member, House Committee on Oversight and Government Reform  
Hon. Roy Blunt, Ranking Member, Senate Subcommittee on Agriculture, Rural Development, Food & Drug Administration, Senate Committee on Appropriations  
Hon. Michael Enzi, Ranking Member, Senate Subcommittee on Children and Families, Senate Committee on Health, Education, Labor and Pensions  
Hon. Kelly Ayotte, Senate Committee on Homeland Security and Governmental Affairs  
Hon. Jeff Sessions, Senate Committee on Armed Services, Ranking Member, Subcommittee on Strategic Forces; Senate Committee on the Judiciary, Ranking Member, Subcommittee on Administrative Oversight and the Courts; Ranking Member, Senate Committee on the Budget  
Hon. Richard Shelby, Ranking Member, Senate Committee on Appropriations; Ranking Member, Subcommittee on Labor, Health and Human Services, Education, and Related Agencies; Senior Member, Senate Committee on Banking, Housing, and Urban Affairs  
Hon. Martha Roby  
Hon. Mo Brooks, House Committee on Science, Space and Technology, Vice Chair, Subcommittee on Space  
Hon. Spencer Bachus, Chairman Emeritus, House Committee on Financial Services; House Judiciary Committee, Chairman, Subcommittee on Regulatory Reform, Commercial, and Antitrust Law  
Hon. Mike Rogers, House Committee on Armed Services, Chairman, Subcommittee on Strategic Forces  
Kathleen Sebelius, Secretary, U.S. Department of Health and Human Services  
Daniel Levinson, Inspector General, U.S. Department of Health and Human Services



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Peter Kadzik, Principal Deputy Assistant Attorney General, Office of Legislative Affairs,  
U.S. Department of Justice

Hon. Luther Strange, Attorney General, State of Alabama (via First Class Mail  
and Facsimile: 334-242-7335)

Hon. Jim Bennett, Secretary of State, State of Alabama (via First Class Mail and E-mail:  
Jim. Bennett@sos.alabma.gov)

Hon. Jim. L. Ridling, Insurance Commissioner, Alabama Department of Insurance (via  
First Class Mail and E-mail: Insdept@insurance.alabama.gov)

Jessica Waltman, Senior Vice President of Government Affairs, National Association of  
Health Underwriters, (via First Class Mail and E-mail: jwaltman@nahu.org)

**Appendix A**

I. Alabama Navigator Grant Recipients.  
**Five awards totaling \$1,443,986:**

- **Ascension Health**  
Grant amount: \$202,706
- **AIDS Alabama, Inc.**  
Grant amount: \$501,380
- **Samford University**  
Grant amount: \$326,794
- **Catholic Social Services – Archdiocese of Mobile**  
Grant amount: \$20,750
- **Tombigbee Healthcare Authority**  
Grant amount: \$392,356

II. Alabama HRSA Grant Recipients.  
**Thirteen awards totaling \$2,424,896:**

<b>Health Center</b>	<b>City</b>	<b>Award Amount</b>
<b>BAYOU LA BATRE AREA HEALTH DEVELOPMENT BOARD, INC.</b>	<b>Bayou La Batre</b>	<b>\$105,354</b>
<b>BIRMINGHAM HEALTH CARE, INC.</b>	<b>Birmingham</b>	<b>\$187,634</b>
<b>CAHABA MEDICAL CARE FOUNDATION</b>	<b>Centreville</b>	<b>\$61,156</b>
<b>CAPSTONE RURAL HEALTH CENTER, THE</b>	<b>Parrish</b>	<b>\$72,814</b>
<b>CENTRAL NORTH ALABAMA HEALTH SERVICES, INC.</b>	<b>Huntsville</b>	<b>\$130,324</b>
<b>FRANKLIN PRIMARY HEALTH CENTER, INC.</b>	<b>Mobile</b>	<b>\$256,571</b>
<b>HEALTH SERVICES, INC.</b>	<b>Montgomery</b>	<b>\$218,643</b>
<b>MOBILE, COUNTY OF</b>	<b>Mobile</b>	<b>\$299,152</b>
<b>NORTHEAST ALABAMA HEALTH SERVICES, INC.</b>	<b>Scottsboro</b>	<b>\$108,258</b>
<b>QUALITY OF LIFE HEALTH SERVICES, INC.</b>	<b>Gadsden</b>	<b>\$438,841</b>
<b>RURAL HEALTH MEDICAL PROGRAM, INC.</b>	<b>Selma</b>	<b>\$100,910</b>
<b>SOUTHEAST ALABAMA RURAL HEALTH ASSOCIATES</b>	<b>Troy</b>	<b>\$205,597</b>
<b>WHATLEY HEALTH SERVICES, INC.</b>	<b>Tuscaloosa</b>	<b>\$239,642</b>