

EXHIBIT

1

Enroll America
EIN: 27-1661221

PART II, Organizational Structure

1. Enroll America's Articles of Incorporation are attached as **Exhibit 1**.
5. Enroll America's Bylaws are attached as **Exhibit 2**.

PART IV, Narrative

The recently enacted health reform legislation will offer substantial help to lower- and moderate-income uninsured and underinsured people and families. Among the approximate 46.3 million uninsured people, a disproportionate number are in lower- and moderate-income households: 37 percent have incomes below the federal poverty level (FPL) – annual income levels of \$10,830 for a person living alone and \$22,050 for a four-person household; an additional 29 percent have incomes between 100 and 199 percent of the FPL; and an additional 17 percent have incomes between 200 and 299 percent of the FPL. In total, five out of every six people without health coverage have incomes below 300 percent of the FPL.

The extraordinary – indeed, historic – improvements in the health reform legislation for lower- and moderate-income populations are enormously important. They not only will provide substantial new help to lower- and moderate-income uninsured people, they will also reduce the likelihood that moderate-income populations are underinsured. Moreover, they will provide significant economic relief for moderate-income *insured* populations when they seek needed health care.

It is essential, therefore, that these legislative improvements truly result in making health coverage and care affordable for America's most economically vulnerable populations. As past experiences with means-tested programs demonstrate, however, this will not be easy to achieve. Ensuring that these health care improvements really reach out to and successfully enroll the intended lower- and moderate-income people will require effective and tenacious efforts across the country. It is for this reason that "Enroll America" was created.

Enroll America – Its Creation and Mission: The work needed to ensure that lower- and moderate-income people receive the new benefits established by the health reform legislation will require significant collaboration among numerous health care stakeholder organizations. Enroll America's mission is to educate lower- and moderate-income persons and families concerning the availability of health care assistance, including, but not limited to, federal, state and local health care programs, private

insurance and other forms of assistance. It is Enroll America's goal to maximize the number of lower- and moderate-income people either enrolled in Medicaid or certified for exchange-based subsidies.

To accomplish its goals, Enroll America will collaborate with a broad diversity of health care industry stakeholders and consumer organizations including, but not limited to, organizations representing children, early retirees, racial and ethnic minorities, women, low-income populations, low-wage workers, people with disabilities, and others disproportionately affected by the new legislation.

Enroll America will also collaborate with key health industry stakeholder organizations including companies and associations representing hospitals, physicians, nurses, insurers, pharmaceutical companies, pharmacists, free clinics, and community health centers. These are the organizations with daily contact with people receiving health care services. As a result, they can play very helpful roles at the point of service in securing the enrollment of moderate-income people into Medicaid or the new subsidy system. For example, when people go to a hospital, or have an appointment with a physician, or fill a prescription, it presents an excellent opportunity to get them enrolled in Medicaid or exchange-based subsidized coverage.

It is our expectation that Enroll America will be governed by a diverse Board of Directors. The diversity of the Board will ensure that there will be broad participation in the enrollment process, and this diversity will also help to foster cooperation among numerous health stakeholder sectors.

Now that health care reform has been enacted, the work to expand health coverage must begin. The success of this work will determine whether meaningful inroads are made in expanding health coverage for America's uninsured and underinsured population groups. Enroll America's goal is to make a significant difference in achieving this expansion and ensure all eligible Americans receive the health care coverage to which are entitled.

Enroll America – Its Organizational Structure: Enroll America will work with national organizations to promote effective state-based enrollment systems by engaging the national organizations' state and local chapters to participate in the creation and ongoing work of "State Consortia." It is Enroll America's goal to create "State Consortia" in as many states as possible. These "State Consortia" will develop and implement plans to ensure that optimal public-sector steps are taken to enroll virtually all lower- and moderate-income people in Medicaid or the new exchange-based subsidy system.

Although it is anticipated that the initial enrollment will occur in 2014 and 2015, it is expected that the work of Enroll America will continue in the years following enrollment to address issues concerning the retention and renewal processes for those people enrolled in either Medicaid or eligible for subsidies through the state health exchanges.

The “State Consortia” will undertake their work in a way that ensures each state establishes effective enrollment and retention systems in Medicaid and the subsidy systems operated by state exchanges. The Consortia will seek to ensure that enrollment systems established by state executive branch agencies are efficient, effective, simple to understand, and not cumbersome – short forms, little verification required, presumptive eligibility systems for people enrolled or enrolling in other means-tested programs, effective electronic application systems, one portal for applications that could lead to Medicaid or subsidies in the exchanges, etc. In essence, the Consortia will be the on-the-ground planning and coordinating entities designed to promote state and local actions that result in securing optimal enrollment systems.

Once a diverse, well-represented Consortium is developed in a specific state, Enroll America will provide core funding so that the Consortium can plan and implement its work with a relatively small staff. Enroll America will provide funding for a modest core staff for an approximate nine-year functioning period of each Consortium. The decisions concerning funding of a Consortium will depend on the meaningful participation in enrollment-related efforts undertaken by a wide variety of diverse state-based organizations. Funding provided to a Consortium will be based on a specific plan agreed upon by the Enroll America staff leadership and the Consortium.

At the national level, Enroll America will help to strengthen the efforts undertaken at the state and local levels. Enroll America’s cooperating partners will meet periodically with the U.S. Department of Health and Human Services (HHS) and other relevant federal agencies, such as IRS, Social Security Administration, and the Department of Labor, to ensure that enrollment-related regulations and procedures governing state efforts are established in an administratively simple and user-friendly manner. At the national level as well, best practices enrollment-related and other technical assistance materials will be developed for use by the Consortia.

Enroll America may develop a number of Advisory Councils that will represent stakeholder groups and other allies and experts in the diverse issues which will be critical to ensure that the many aspects of the enrollment process can work as effectively and efficiently as possible.

It is possible that there may be in-person meetings of these Advisory Councils to help Enroll America develop its policy recommendations and best practices for enrollment and the renewal and retention processes.

Fundraising: Enroll America plans to raise substantial support through diverse funding sources, including health industry stakeholder organizations as well as philanthropic grants. Fundraising activities may occur by mail, telephone, email, personal solicitation, or by a form on Enroll America’s website. Fundraising will be conducted by members of the Board of Directors. Enroll America will also explore the possibility of funding through government grants or contracts.

PART V, Compensation and Other Financial Arrangements with Officers, Directors, Trustees, Employees, and Independent Contractors

2a. Ronald Pollack, Delma Plummer and Barbara Campbell are all employed by Families USA Foundation, Inc., a public charity organized under IRC § 501(c)(3).

2c. Rachel Klein, who will become Executive Director of Enroll America on June 1, 2010, was formerly employed by Families USA Foundation, Inc., which also employs the individuals listed in 2a.

3a.

Name	Title	Qualifications	Hours Worked	Duties
Vincent DeMarco	Director	*B.A. John Hopkins University *J.D. Columbia Law School Currently: President of Maryland Citizens' Health Initiative and also Adjunct Assistant Professor of Public Health at John Hopkins University	5 hours/week	Establish priorities, develop programmatic ideas and raise money
Roger Schwartz	Director	*B.A. University of Connecticut *J.D. Georgetown University Law Center Currently: Associate V.P. and Executive Branch Liaison for the National Association of Community Health Centers	5 hours/week	Establish priorities, develop programmatic ideas, and raise money.
Ronald Pollack	President & Director	*B.A. Queens College *J.D. NYU Law School Currently: Executive Director of Families USA Foundation	5 hours/week	Establish priorities, develop programmatic ideas, raise money and Board governance

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Form 1023 Attachment

		Experienced public interest lawyer and health care advocate		
Delma Plummer	Treasurer	*B.A. Adelphi University *MBA Bowie State University Currently: Director of Finance and Administration, Families USA Foundation	3 hours/wk	Oversee finances
Barbara Campbell	Secretary	*B.A. Marquette University *J.D. Columbus School of Law, Catholic University of America Currently: Counsel at Families USA Foundation	3 hours/week	Board governance and maintain corporate records
Rachel Klein	Executive Director	B.A. University of California at San Diego M.A. in Public Health George Washington University. Previously: Deputy Director of Health Policy at Families USA Foundation. Coordinated research and policy work on Medicaid, including initiatives to strengthen and increase health coverage for low-income people	40 hours/wk	Serve as Chief Executive Officer. Along with Board, establish priorities and develop and implement program ideas.
McKinsey & Company	Independent Contractor	Management consulting firm advising leading companies on issues of strategy, organization, technology and operations.	Approximately four McKinsey staff working intensively over a 10-week period	Develop business plan for Enroll America

The following is additional information regarding the independent contractor: McKinsey & Company has been asked to develop a business plan that will help to define Enroll America's method of operation, the need for resources, and involvement of diverse national organizations. McKinsey & Company was selected because it has a stellar reputation among the organizations most likely to participate in Enroll America. Fees for the comprehensive business plan were negotiated so that they would be cost-effective and are consistent with market rates for this type of consultant work.

5a. Enroll America's Conflict of Interest Policy is attached as **Exhibit 3**. It was adopted by resolution of Enroll America's Board of Directors.

PART VI, Members and Other Individuals and Organizations that Receive Benefits

1b. As noted above in the Narrative, Enroll America will provide contracts to national organizations to promote effective state-based enrollment systems by engaging their state and local organizations to participate in the creation and ongoing work of "State Consortia." Enroll America's goals are to create or facilitate the creation of "State Consortia" in as many states as possible. Those "State Consortia" will develop and implement plans to ensure that optimal public-sector steps are taken to enroll virtually all lower- and moderate-income people in Medicaid or the new exchange-based subsidy system.

The "State Consortia" will work with state executive branch agencies to ensure each state establishes effective enrollment and retention systems in Medicaid and the subsidy systems operated by state health exchanges.

Once a diverse, well-represented Consortium is developed in a specific state, Enroll America will provide core funding so that the Consortium can plan and implement its work with a relatively small staff. On average, Enroll America will provide modest funds for the functioning period of each Consortium, the amount of time estimated to implement national health care reform. The funds provided will be calculated to provide core staffing so that the activities of the diverse organizations in the Consortium are adequately coordinated.

Enroll America, with the assistance of its independent contractor, is in the process of developing specific requirements and performance standards for the Consortium contracts. No funds have been awarded at this time. The specifics of these contracts will be determined prior to the release of the funds. It is expected that there will be ongoing review of the State Consortia's work and that they will have to report annually on the expenditure of the funds, as well as provide a work plan for each coming year so that there will be benchmarks for evaluating the performance of each State Consortium.

See also Part VIII, 13b for more information regarding the proposed contracts.

PART VIII, Specific Activities

2a. Enroll America does not plan to spend a substantial amount of time influencing legislation. Instead, Enroll America will monitor closely the implementation of the recently-enacted health reform legislation, the bulk of which will occur in the executive branch at both the federal and state levels of government.

Enroll America plans to have conversations with the U.S. Department of Health and Human Services and other relevant federal agencies as identified previously in the Narrative that are responsible for drafting numerous implementing regulations for the recently-enacted health reform legislation. In addition, Enroll America will keep abreast of the developments being made by the state agencies with jurisdiction over the Medicaid program and the state health exchanges to ensure that, in 2014, when the eligibility for the uninsured and underinsured begins, there can be a seamless and speedy enrollment of people in the programs for which they are eligible.

2b. Enroll America's Form 5768 is attached as **Exhibit 4**.

4a. Enroll America plans to raise substantial support through diverse funding sources, including health industry stakeholder organizations as well as philanthropic grants. Fundraising activities will largely occur through individualized solicitations. Fundraising may occur by mail, telephone, email, personal solicitation, or by a form on Enroll America's website. Fundraising will be conducted by members of the Board of Directors. Enroll America will also explore the possibility of funding through government grants or contracts.

4d. Enroll America intends to fundraise in all fifty states through the means described above in question 4a.

13b. As noted above in the Narrative, Enroll America will provide contracts to national organizations to promote effective state-based enrollment systems by engaging their state and local organizations to participate in the creation and ongoing work of "State Consortia." It is also possible that contracts will be provided to some national organizations for developing technical assistance materials and best practices guides to be used by the State Consortia, as well as monitoring the work of the State Consortia. The development of planning has not advanced sufficiently to be able to provide more specifics on these activities.

Enroll America's goals are to create or facilitate the creation of "State Consortia" in as many states as possible. Those "State Consortia" will develop and implement plans to ensure that optimal public-sector steps are taken to enroll in each participating state virtually all moderate-income people in Medicaid or the new exchange-based subsidy system.

Once a diverse, well-represented Consortium is developed in a specific state, Enroll America will provide core funding so that the Consortium can plan and implement its work with a relatively small staff. On average, Enroll America will provide modest funds for the functioning period of each Consortium, the amount of time estimated to implement national health care reform and to assist with the renewal processes for Medicaid and the subsidy systems operated by state health exchanges. The funds provided will be calculated to provide core staffing so that the activities of the diverse organizations in each Consortium are adequately coordinated.

13c. Enroll America, with the assistance of its independent contractor, is in the process of developing specific requirements and performance standards for the Consortium written contracts. No contracts have been developed yet and no funds have been awarded at this time. The specifics of these contracts will be determined prior to the release of the funds. It is expected that there will be ongoing review of each State Consortia's work.

13d. The recipients of the State Consortium contracts are not known at the present time.

13e. The recipients of the State Consortium contracts will have to report annually on the expenditure of the funds, as well as provide a work plan for each coming year so that there will be benchmarks for evaluating the performance of each State Consortium. These reports and work plans will be retained.

13f (i). It is unknown at the present time what the application process will be for the State Consortium contracts.

13g. As stated in 13e, the recipients will have to report annually on the expenditure of the funds, as well as provide a work plan for each coming year so that there will be benchmarks for evaluating the performance of each State Consortium. It is expected that there will also be ongoing monitoring of the work of each State Consortium.

Part IX, Financial Data

15. See table below for proposed expenses:

	2010	2011	2012
Contracts to State Consortia	\$0	\$1,000,000	\$2,500,000
Contract for development of State Consortia technical assistance materials	\$100,000	\$300,000	\$500,000
Contracts to oversee State Consortia			\$100,000
Contract to McKinsey & Company	\$450,000		
Total	\$550,00	\$1,300,000	\$3,100,000

As noted previously, no contracts have yet been awarded.

23. See table below for other expenses:

	2010	2011	2012
Meeting and Travel	\$18,750	\$30,000	\$31,500
Miscellaneous	\$750	\$1,500	\$1,650
Office Equipment	\$60	\$150	\$165
Office Supplies	\$100	\$110	\$121
Postage and Delivery	\$50	\$100	\$110
Telephone and Internet	\$300	\$600	\$660
Payroll Taxes and Other Benefits	\$10,395	\$23,100	\$24,255
Printing	\$1,000	\$750	\$750
Support System for Accounting and Computer/Web	\$1,020	\$2,040	\$2,244
Total	\$32,425	\$58,350	\$61,455

EXHIBIT

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Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

2010

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

The organization may have to use a copy of this return to satisfy state reporting requirements

A For the 2010 calendar year, or tax year beginning **January 11**, 2010, and ending **December 31**, 20 **10**

B Check if applicable:
 Address change
 Name change
 Initial return
 Terminated
 Amended return
 Application pending

C Name of organization **Enroll America**
 Doing Business As
 Number and street (or P O box if mail is not delivered to street address) Room/suite
1201 New York Avenue, N.W. #1100
 City or town, state or country, and ZIP + 4
Washington, DC 20005

D Employer identification number
27-1661221

E Telephone number
202/737-6340

F Name and address of principal officer
Ronald Pollack, 1201 New York Avenue, N.W., #1100, Washington, DC 20005

G Gross receipts \$ **0**

H(a) Is this a group return for affiliates? Yes No
H(b) Are all affiliates included? Yes No
 If "No," attach a list. (see instructions)
H(c) Group exemption number ▶

I Tax-exempt status 501(c)(3) 501(c) () ◀ (insert no) 4947(a)(1) or 527

J Website: ▶ **www.enrollamerica.org**

K Form of organization. Corporation Trust Association Other ▶

L Year of formation **2011** **M** State of legal domicile **DC**

Part I Summary

Activities & Governance	1	Briefly describe the organization's mission or most significant activities: Ensure uninsured people who will be eligible for health coverage in 2014 actually get enrolled.		
	2	Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.		
	3	Number of voting members of the governing body (Part VI, line 1a)	3	3
	4	Number of independent voting members of the governing body (Part VI, line 1b)	4	3
	5	Total number of individuals employed in calendar year 2010 (Part V, line 2a)	5	0
	6	Total number of volunteers (estimate if necessary)	6	0
	7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0
	b Net unrelated business taxable income from Form 990-T, line 34	7b	0	
Revenue	8	Contributions and grants (Part VIII, line 1h)	Prior Year N/A	Current Year 1,005,000
	9	Program service revenue (Part VIII, line 2g)		
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)		
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)		
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)		1,005,000
	Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	
14		Benefits paid to or for members (Part IX, column (A), line 4)		
15		Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)		
16a		Professional fundraising fees (Part IX, column (A), line 11e)		
b		Total fundraising expenses (Part IX, column (D), line 25) ▶		
17		Other expenses (Part IX, column (A), lines 11a–11d, 11f–24f)		450,026
	18 Total expenses. Add lines 13–17 (must equal Part IX, column (A), line 25)		450,026	
	19 Revenue less expenses. Subtract line 18 from line 12		554,974	
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	Beginning of Current Year	End of Year 554,974
	21	Total liabilities (Part X, line 26)		
	22	Net assets or fund balances. Subtract line 21 from line 20		554,974

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer: Ronald F. Pollack Date: November 11, 2011

Type or print name and title: Ronald F. Pollack, President

Paid Preparer Use Only

Print/Type preparer's name: _____ Preparer's signature: _____ Date: _____ Check if self-employed PTIN: _____

Firm's name: _____ Firm's EIN: _____

Firm's address: _____ Phone no: _____

May the IRS discuss this return with the preparer shown above? (see instructions) Yes No

SCANNED DEC 07 2011

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Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response to any question in this Part III

1 Briefly describe the organization's mission:
Ensure uninsured people who will be eligible for health coverage in 2014 actually get enrolled.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? Yes No
If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? Yes No
If "Yes," describe these changes on Schedule O.

4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ 450,000 including grants of \$) (Revenue \$)
Development of business plan for Enroll America

4b (Code:) (Expenses \$ including grants of \$) (Revenue \$)

4c (Code:) (Expenses \$ including grants of \$) (Revenue \$)

4d Other program services. (Describe in Schedule O.)
(Expenses \$ including grants of \$) (Revenue \$)

4e Total program service expenses ▶ 450,026

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
2 Is the organization required to complete Schedule B, Schedule of Contributors? (see instructions)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i>	<input type="checkbox"/>	<input type="checkbox"/>
6 Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
9 Did the organization report an amount in Part X, line 21; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
10 Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
12 a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI, XII, and XIII</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
14 a Did the organization maintain an office, employees, or agents outside of the United States?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the United States? <i>If "Yes," complete Schedule F, Parts I and IV</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? <i>If "Yes," complete Schedule F, Parts II and IV</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? <i>If "Yes," complete Schedule F, Parts III and IV</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I (see instructions)</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
20 a Did the organization operate one or more hospitals? <i>If "Yes," complete Schedule H</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
b If "Yes" to line 20a, did the organization attach its audited financial statements to this return? Note. Some Form 990 filers that operate one or more hospitals must attach audited financial statements (see instructions)	<input type="checkbox"/>	<input type="checkbox"/>

Part IV Checklist of Required Schedules *(continued)*

	Yes	No
21 Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>		✓
22 Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>		✓
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>		✓
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25</i>		✓
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		✓
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		✓
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		✓
25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>		✓
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>		✓
26 Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i>		✓
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? <i>If "Yes," complete Schedule L, Part III</i>		✓
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		✓
b A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		✓
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>		✓
29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>		✓
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>		✓
31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>		✓
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>		✓
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>		✓
34 Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1</i>		✓
35 Is any related organization a controlled entity within the meaning of section 512(b)(13)?		✓
a Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i> <input type="checkbox"/> Yes <input type="checkbox"/> No		
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>		✓
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>		✓
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? Note. All Form 990 filers are required to complete Schedule O		

Part V **Statements Regarding Other IRS Filings and Tax Compliance**
 Check if Schedule O contains a response to any question in this Part V

			Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	1a	0	
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b	0	
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	✓	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	0	
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to <i>e-file</i> . (see instructions)	2b		
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a		✓
b	If "Yes," has it filed a Form 990-T for this year? <i>If "No," provide an explanation in Schedule O</i>	3b		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a		✓
b	If "Yes," enter the name of the foreign country: ▶ _____ See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.			
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a		✓
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b		
c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	5c		
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible?	6a		✓
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b		
7	Organizations that may receive deductible contributions under section 170(c).			
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a		✓
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c		✓
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d	0	
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e		✓
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f		✓
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g		✓
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h		✓
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	8		
9	Sponsoring organizations maintaining donor advised funds.			
a	Did the organization make any taxable distributions under section 4966?	9a		
b	Did the organization make a distribution to a donor, donor advisor, or related person?	9b		
10	Section 501(c)(7) organizations. Enter:			
a	Initiation fees and capital contributions included on Part VIII, line 12	10a		
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b		
11	Section 501(c)(12) organizations. Enter:			
a	Gross income from members or shareholders	11a		
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b		
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b		
13	Section 501(c)(29) qualified nonprofit health insurance issuers.			
a	Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O.	13a		
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b		
c	Enter the amount of reserves on hand	13c		
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14a		✓
b	If "Yes," has it filed a Form 720 to report these payments? <i>If "No," provide an explanation in Schedule O</i>	14b		

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response to any question in this Part VI

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year	1a	3
b	Enter the number of voting members included in line 1a, above, who are independent	1b	3
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	✓
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3	✓
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4	✓
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	5	✓
6	Does the organization have members or stockholders?	6	✓
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?	7a	✓
b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons?	7b	✓
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a	The governing body?	8a	✓
b	Each committee with authority to act on behalf of the governing body?	8b	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O.	9	✓

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10a	Does the organization have local chapters, branches, or affiliates?	10a	✓
b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	10b	
11a	Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	11a	✓
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a	Does the organization have a written conflict of interest policy? If "No," go to line 13	12a	✓
b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	✓
c	Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done.	12c	✓
13	Does the organization have a written whistleblower policy?	13	✓
14	Does the organization have a written document retention and destruction policy?	14	✓
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a	The organization's CEO, Executive Director, or top management official	15a	✓
b	Other officers or key employees of the organization	15b	✓
	If "Yes" to line 15a or 15b, describe the process in Schedule O. (See instructions.)		
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	✓
b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16b	

Section C. Disclosure

- 17** List the states with which a copy of this Form 990 is required to be filed ►
- 18** Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you make these available. Check all that apply.
 Own website Another's website Upon request
- 19** Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public.
- 20** State the name, physical address, and telephone number of the person who possesses the books and records of the organization: ► Delma Plummer, 1201 New York Avenue, N.W., #1100, Washington, DC 20005 202/628-3030

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response to any question in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) Vincent DeMarco Director	.05	✓					0	0	0	
(2) Roger Schwartz Director	.05	✓					0	0	0	
(3) Ronald Pollack Director and Officer	5	✓		✓			0	0	0	
(4) Delma Plummer Officer	.02			✓			0	0	0	
(5) Barbara Campbell Officer	.02			✓			0	0	0	
(6)										
(7)										
(8)										
(9)										
(10)										
(11)										
(12)										
(13)										
(14)										
(15)										
(16)										

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(17)										
(18)										
(19)										
(20)										
(21)										
(22)										
(23)										
(24)										
(25)										
(26)										
(27)										
(28)										
1b Sub-total							0	0	0	
c Total from continuation sheets to Part VII, Section A										
d Total (add lines 1b and 1c)							0	0	0	

- 2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 in reportable compensation from the organization **0**
- | | Yes | No |
|--|-----|----|
| 3 Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i> | | ✓ |
| 4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i> | | ✓ |
| 5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i> | | ✓ |

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization.

(A) Name and business address	(B) Description of services	(C) Compensation
McKinsey & Company, PO Box 7247-7255, Philadelphia, PA 19170-7255	Preparation of Business Plan	450,000

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization **1**

Part VIII Statement of Revenue

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514		
Contributions, gifts, grants and other similar amounts	1a	Federated campaigns	1a						
	b	Membership dues	1b						
	c	Fundraising events	1c						
	d	Related organizations	1d						
	e	Government grants (contributions)	1e						
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	1,005,000					
	g	Noncash contributions included in lines 1a-1f \$							
	h	Total. Add lines 1a-1f ▶		1,005,000					
Program Service Revenue				Business Code					
	2a	-----							
	b	-----							
	c	-----							
	d	-----							
	e	-----							
	f	All other program service revenue .							
g	Total. Add lines 2a-2f ▶		1,005,000						
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts) ▶							
	4	Income from investment of tax-exempt bond proceeds ▶							
	5	Royalties ▶							
	6a	Gross Rents	(i) Real	(ii) Personal					
			Less: rental expenses						
			Rental income or (loss)						
			d	Net rental income or (loss) ▶					
	7a	Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other					
			Less: cost or other basis and sales expenses						
			c	Gain or (loss)					
			d	Net gain or (loss) ▶					
	8a	Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c). See Part IV, line 18 a							
			Less: direct expenses b						
			c	Net income or (loss) from fundraising events . ▶					
	9a	Gross income from gaming activities. See Part IV, line 19 a							
Less: direct expenses b									
c			Net income or (loss) from gaming activities . . ▶						
10a	Gross sales of inventory, less returns and allowances a								
		Less: cost of goods sold b							
		c	Net income or (loss) from sales of inventory . . ▶						
Miscellaneous Revenue			Business Code						
11a	-----								
b	-----								
c	-----								
d	All other revenue								
e	Total. Add lines 11a-11d ▶								
12	Total revenue. See instructions. ▶			1,005,000					

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.
 All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the U.S. See Part IV, line 21				
2	Grants and other assistance to individuals in the U.S. See Part IV, line 22				
3	Grants and other assistance to governments, organizations, and individuals outside the U.S. See Part IV, lines 15 and 16				
4	Benefits paid to or for members				
5	Compensation of current officers, directors, trustees, and key employees				
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7	Other salaries and wages				
8	Pension plan contributions (include section 401(k) and section 403(b) employer contributions)				
9	Other employee benefits				
10	Payroll taxes				
11	Fees for services (non-employees):				
a	Management				
b	Legal				
c	Accounting				
d	Lobbying				
e	Professional fundraising services. See Part IV, line 17				
f	Investment management fees				
g	Other				
12	Advertising and promotion				
13	Office expenses				
14	Information technology				
15	Royalties				
16	Occupancy				
17	Travel				
18	Payments of travel or entertainment expenses for any federal, state, or local public officials				
19	Conferences, conventions, and meetings				
20	Interest				
21	Payments to affiliates				
22	Depreciation, depletion, and amortization				
23	Insurance				
24	Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24f. If line 24f amount exceeds 10% of line 25, column (A) amount, list line 24f expenses on Schedule O.)				
a	development of business plan	450,000	450,000		
b	printing of checks	26		26	
c					
d					
e					
f	All other expenses				
25	Total functional expenses. Add lines 1 through 24f	450,026	450,000	26	
26	Joint costs. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720). Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X Balance Sheet

		(A) Beginning of year		(B) End of year
Assets	1 Cash—non-interest-bearing	0	1	554,974
	2 Savings and temporary cash investments		2	
	3 Pledges and grants receivable, net		3	
	4 Accounts receivable, net		4	
	5 Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L			
			5	
	6 Receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions)			
			6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use		8	
	9 Prepaid expenses and deferred charges		9	
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a		
	b Less: accumulated depreciation	10b		10c
	11 Investments—publicly traded securities		11	
	12 Investments—other securities. See Part IV, line 11		12	
	13 Investments—program-related. See Part IV, line 11		13	
14 Intangible assets		14		
15 Other assets. See Part IV, line 11		15		
16 Total assets. Add lines 1 through 15 (must equal line 34)		0	16	554,974
Liabilities	17 Accounts payable and accrued expenses		17	
	18 Grants payable		18	
	19 Deferred revenue		19	
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22 Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L			
			22	
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities. Complete Part X of Schedule D		25	
26 Total liabilities. Add lines 17 through 25		26		0
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets		27	
	28 Temporarily restricted net assets		28	
	29 Permanently restricted net assets		29	
	Organizations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building, or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
	33 Total net assets or fund balances		33	
34 Total liabilities and net assets/fund balances		34		554,974

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	1,005,000
2	Total expenses (must equal Part IX, column (A), line 25)	2	450,026
3	Revenue less expenses. Subtract line 2 from line 1	3	554,974
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	
5	Other changes in net assets or fund balances (explain in Schedule O)	5	
6	Net assets or fund balances at end of year. Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B))	6	554,974

Part XII Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII

	Yes	No
1 Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
2a Were the organization's financial statements compiled or reviewed by an independent accountant?		✓
b Were the organization's financial statements audited by an independent accountant?		✓
c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.		
d If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits		

SCHEDULE A
(Form 990 or 990-EZ)

Public Charity Status and Public Support

OMB No 1545-0047

2010

Open to Public Inspection

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

Department of the Treasury
Internal Revenue Service

Name of the organization Enroll America	Employer identification number 27-1661221
---	---

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2 A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E.)
- 3 A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4 A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state: _____
- 5 An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6 A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7 An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8 A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 9 An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 10 An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 11 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3)**. Check the box that describes the type of supporting organization and complete lines 11e through 11h.
 - a Type I b Type II c Type III—Functionally integrated d Type III—Other
- e By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).
- f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box
- g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

- (i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?
- (ii) A family member of a person described in (i) above?
- (iii) A 35% controlled entity of a person described in (i) or (ii) above?

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1–9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document?		(v) Did you notify the organization in col (i) of your support?		(vi) Is the organization in col (i) organized in the U S ?		(vii) Amount of support
			Yes	No	Yes	No	Yes	No	
(A)									
(B)									
(C)									
(D)									
(E)									
Total									

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
 (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")					1,005,000	1,005,000
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3					1,005,000	1,005,000
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						834,300
6 Public support. Subtract line 5 from line 4.						170,700

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
7 Amounts from line 4					1,005,000	1,005,000
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
11 Total support. Add lines 7 through 10						1,005,000
12 Gross receipts from related activities, etc. (see instructions)					12	0
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ▶ <input checked="" type="checkbox"/>						

Section C. Computation of Public Support Percentage

14 Public support percentage for 2010 (line 6, column (f) divided by line 11, column (f))	14	%
15 Public support percentage from 2009 Schedule A, Part II, line 14	15	%
16a 33 1/3% support test—2010. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here . The organization qualifies as a publicly supported organization ▶ <input type="checkbox"/>		
b 33 1/3% support test—2009. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here . The organization qualifies as a publicly supported organization ▶ <input type="checkbox"/>		
17a 10%-facts-and-circumstances test—2010. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here . Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ <input type="checkbox"/>		
b 10%-facts-and-circumstances test—2009. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here . Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ <input type="checkbox"/>		
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶ <input type="checkbox"/>		

SCHEDULE C
(Form 990 or 990-EZ)

Political Campaign and Lobbying Activities

OMB No 1545-0047

2010

Open to Public Inspection

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ **Complete if the organization is described below.** ▶ **Attach to Form 990 or Form 990-EZ.**
▶ **See separate instructions.**

Department of the Treasury
Internal Revenue Service

If the organization answered "Yes," to Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B
- Section 527 organizations: Complete Part I-A only

If the organization answered "Yes," to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A

If the organization answered "Yes," to Form 990, Part IV, line 5 (Proxy Tax) or Form 990-EZ, Part V, line 35a (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III

Name of organization Enroll America	Employer identification number 27-1661221
--	--

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.
- 2 Political expenditures ▶ \$ _____
- 3 Volunteer hours _____

Part I-B Complete if the organization is exempt under section 501(c)(3).

- 1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ \$ _____
- 2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ \$ _____
- 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? Yes No
- 4a Was a correction made? Yes No
- b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ \$ _____
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ \$ _____
- 3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b ▶ \$ _____
- 4 Did the filing organization file **Form 1120-POL** for this year? Yes No
- 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-
(1)	_____	_____	_____	_____
(2)	_____	_____	_____	_____
(3)	_____	_____	_____	_____
(4)	_____	_____	_____	_____
(5)	_____	_____	_____	_____
(6)	_____	_____	_____	_____

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A** Check if the filing organization belongs to an affiliated group.
B Check if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals												
1a	Total lobbying expenditures to influence public opinion (grass roots lobbying)	0													
b	Total lobbying expenditures to influence a legislative body (direct lobbying)	0													
c	Total lobbying expenditures (add lines 1a and 1b)	0													
d	Other exempt purpose expenditures	450,026													
e	Total exempt purpose expenditures (add lines 1c and 1d)	450,026													
f	Lobbying nontaxable amount. Enter the amount from the following table in both columns.	90,005													
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">If the amount on line 1e, column (a) or (b) is:</th> <th style="text-align: left;">The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000.</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000.</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000</td> </tr> </tbody> </table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000	Over \$17,000,000	\$1,000,000		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000														
Over \$17,000,000	\$1,000,000														
g	Grassroots nontaxable amount (enter 25% of line 1f)	22,501													
h	Subtract line 1g from line 1a. If zero or less, enter -0-	0													
i	Subtract line 1f from line 1c. If zero or less, enter -0-	0													
j	If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?		<input type="checkbox"/> Yes <input type="checkbox"/> No												

4-Year Averaging Period Under Section 501(h)
 (Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) Total
2a Lobbying nontaxable amount				90,005	90,005
b Lobbying ceiling amount (150% of line 2a, column (e))					
c Total lobbying expenditures				0	0
d Grassroots nontaxable amount				22,501	22,501
e Grassroots ceiling amount (150% of line 2d, column (e))					33,752
f Grassroots lobbying expenditures				0	0

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

	(a)		(b)
	Yes	No	Amount
1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a Volunteers?			
b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c Media advertisements?			
d Mailings to members, legislators, or the public?			
e Publications, or published or broadcast statements?			
f Grants to other organizations for lobbying purposes?			
g Direct contact with legislators, their staffs, government officials, or a legislative body?			
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i Other activities? If "Yes," describe in Part IV			
j Total. Add lines 1c through 1i			
2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b If "Yes," enter the amount of any tax incurred under section 4912			
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes	No
1 Were substantially all (90% or more) dues received nondeductible by members?	1	
2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3 Did the organization agree to carryover lobbying and political expenditures from the prior year?	3	

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes."

1 Dues, assessments and similar amounts from members	1	
2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a Current year	2a	
b Carryover from last year	2b	
c Total	2c	
3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5 Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; and Part II-B, line 1i. Also, complete this part for any additional information.

Part IV Supplemental Information (continued)

Area with horizontal dashed lines for supplemental information.

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

▶ File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
 - If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).
- Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization Enroll America	Employer identification number 27-1661221
File by the due date for filing your return. See instructions.	Number, street, and room or suite no. If a P.O. box, see instructions. c/o 1201 New York Avenue, N.W., Suite 1100	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. Washington, DC 20005	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 1

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

• The books are in the care of ▶ the organization

Telephone No. ▶ 202/628-3030 FAX No. ▶ 202/347-2417

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ . If this is for the whole group, check this box . If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until August 15, 20 11, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ calendar year 20 ____ or

▶ tax year beginning January 11, 20 10, and ending December 31, 20 10.

2 If the tax year entered in line 1 is for less than 12 months, check reason: Initial return Final return Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box **Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the extended due date for filing your return See instructions	Name of exempt organization Enroll America	Employer identification number 27-1661221
	Number, street, and room or suite no. If a P.O. box, see instructions c/o 1201 New York Avenue, NW, Suite 1100	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. Washington, DC 20005	

Enter the Return code for the return that this application is for (file a separate application for each return) **0 1**

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of the organization
Telephone No. 202/737-6340 FAX No. 202/737-8583
- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ . If this is for the whole group, check this box . If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until November 15, 2011.

5 For calendar year _____, or other tax year beginning January 1, 2010, and ending December 31, 2010.

6 If the tax year entered in line 5 is for less than 12 months, check reason: Initial return Final return
 Change in accounting period

7 State in detail why you need the extension Additional time is required to allow for preparation of complete and accurate Form 990.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature *Thomas J. Keller* Title President Date 7/25/11

EXHIBIT

3

IRS e-file Signature Authorization for an Exempt Organization

2011

For calendar year 2011, or fiscal year beginning _____, 2011, and ending _____, 20

Do not send to the IRS. Keep for your records. See instructions.

Department of the Treasury Internal Revenue Service

Name of exempt organization

Employer identification number

ENROLL AMERICA

27-1661221

Name and title of officer

RONALD F. POLLACK PRESIDENT

Part I Type of Return and Return Information (Whole Dollars Only)

Check the box for the return for which you are using this Form 8879-EO and enter the applicable amount, if any, from the return. If you check the box on line 1a, 2a, 3a, 4a, or 5a, below, and the amount on that line for the return being filed with this form was blank, then leave line 1b, 2b, 3b, 4b, or 5b, whichever is applicable, blank (do not enter -0-). But, if you entered -0- on the return, then enter -0- on the applicable line below. Do not complete more than 1 line in Part I.

Table with 5 rows (1a-5a) and 2 columns (a, b). Row 1a is checked with amount 3872000.

Part II Declaration and Signature Authorization of Officer

Under penalties of perjury, I declare that I am an officer of the above organization and that I have examined a copy of the organization's 2011 electronic return and accompanying schedules and statements and to the best of my knowledge and belief, they are true, correct, and complete.

Officer's PIN: check one box only

[X] I authorize RUBINO & MCGEEHIN, CHARTERED to enter my PIN 61221

as my signature on the organization's tax year 2011 electronically filed return. If I have indicated within this return that a copy of the return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I also authorize the aforementioned ERO to enter my PIN on the return's disclosure consent screen.

[] As an officer of the organization, I will enter my PIN as my signature on the organization's tax year 2011 electronically filed return. If I have indicated within this return that a copy of the return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I will enter my PIN on the return's disclosure consent screen.

Officer's signature: Ronald F. Pollack Date: 11/15/12

Part III Certification and Authentication

ERO's EFIN/PIN. Enter your six-digit electronic filing identification number (EFIN) followed by your five-digit self-selected PIN.

5253499999 do not enter all zeros

I certify that the above numeric entry is my PIN, which is my signature on the 2011 electronically filed return for the organization indicated above. I confirm that I am submitting this return in accordance with the requirements of Pub. 4163, Modernized e-File (MeF) Information for Authorized IRS e-file Providers for Business Returns.

ERO's signature: [Signature] Date: 11/16/2012

ERO Must Retain This Form - See Instructions Do Not Submit This Form To the IRS Unless Requested To Do So

Return of Organization Exempt From Income Tax

2011

Department of the Treasury
Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

Open to Public Inspection

The organization may have to use a copy of this return to satisfy state reporting requirements.

A For the 2011 calendar year, or tax year beginning and ending

B Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	C Name of organization ENROLL AMERICA		D Employer identification number 27-1661221
	Doing Business As		E Telephone number 202-737-6340
	Number and street (or P.O. box if mail is not delivered to street address)	Room/suite	G Gross receipts \$ 3,872,000.
	1201 NEW YORK AVENUE, NW City or town, state or country, and ZIP + 4 WASHINGTON, DC 20005		H(a) Is this a group return for affiliates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	F Name and address of principal officer: RONALD F. POLLACK SAME AS C ABOVE		H(b) Are all affiliates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list. (see instructions)
I Tax-exempt status: <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) () (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527			H(c) Group exemption number ▶
J Website: WWW.ENROLLAMERICA.ORG			
K Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶		L Year of formation: 2010	M State of legal domicile: DC

Part I Summary

Activities & Governance	1 Briefly describe the organization's mission or most significant activities: <u>TO ENSURE THAT ALL AMERICANS ARE ENROLLED IN AND RETAIN HEALTH COVERAGE</u>		
	2 Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.		
	3 Number of voting members of the governing body (Part VI, line 1a)	3	8
	4 Number of independent voting members of the governing body (Part VI, line 1b)	4	8
	5 Total number of individuals employed in calendar year 2011 (Part V, line 2a)	5	5
	6 Total number of volunteers (estimate if necessary)	6	0
	7 a Total unrelated business revenue from Part VIII, column (C), line 12	7a	0.
b Net unrelated business taxable income from Form 990-T, line 34	7b	0.	
Revenue	8 Contributions and grants (Part VIII, line 1h)	Prior Year 1,005,000.	Current Year 3,872,000.
	9 Program service revenue (Part VIII, line 2g)	0.	0.
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	0.	0.
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	0.	0.
	12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	1,005,000.	3,872,000.
Expenses	13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)	0.	0.
	14 Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.
	15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	0.	700,005.
	16a Professional fundraising fees (Part IX, column (A), line 11e)	0.	0.
	b Total fundraising expenses (Part IX, column (D), line 25) ▶ 20,474.		
	17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	450,026.	340,331.
	18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	450,026.	1,040,336.
Net Assets or Fund Balances	19 Revenue less expenses. Subtract line 18 from line 12	554,974.	2,831,664.
	20 Total assets (Part X, line 16)	Beginning of Current Year 554,974.	End of Year 3,502,081.
	21 Total liabilities (Part X, line 26)	0.	115,443.
	22 Net assets or fund balances. Subtract line 21 from line 20	554,974.	3,386,638.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer	Date			
	RONALD F. POLLACK, PRESIDENT Type or print name and title				
Paid Preparer Use Only	Print/Type preparer's name JENNY E. HERRERA, CPA	Preparer's signature	Date	Check if self-employed <input type="checkbox"/>	PTIN P00252755
	Firm's name ▶ RUBINO & MCGEEHIN, CHARTERED	Firm's EIN ▶ 52-1186096			
	Firm's address ▶ 6903 ROCKLEDGE DRIVE, SUITE 1200 BETHESDA, MD 20817	Phone no. 301-564-3636			

May the IRS discuss this return with the preparer shown above? (see instructions) Yes No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response to any question in this Part III [X]

1 Briefly describe the organization's mission: ENROLL AMERICA IS A NONPARTISAN 501(C)(3) ORGANIZATION WHOSE MISSION IS TO ENSURE THAT ALL AMERICANS ARE ENROLLED IN AND RETAIN HEALTH COVERAGE. ENROLL AMERICA IS A COLLABORATIVE ORGANIZATION, WORKING WITH PARTNERS THAT SPAN THE GAMUT OF HEALTH COVERAGE

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? [] Yes [X] No

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? [] Yes [X] No

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ 263,733. Including grants of \$) (Revenue \$) BEST PRACTICES: ENROLL AMERICA MONITORED THE DEVELOPMENT OF FEDERAL AND STATE POLICIES AND PRACTICES RELATED TO ENROLLMENT IN HEALTH COVERAGE AND PROVIDED TECHNICAL ASSISTANCE AND INFORMATION ABOUT ENROLLMENT BEST PRACTICES TO NATIONAL AND STATE-BASED ENTITIES.

4b (Code:) (Expenses \$ 259,631. Including grants of \$) (Revenue \$) STATE ASSISTANCE: ENROLL AMERICA PROVIDED TECHNICAL ASSISTANCE AND INFORMATION ABOUT ENROLLMENT BEST PRACTICES TO STATE-BASED HEALTH COVERAGE STAKEHOLDERS.

4c (Code:) (Expenses \$ 259,954. Including grants of \$) (Revenue \$) NATIONAL PARTNERSHIP AND OUTREACH: ENROLL AMERICA PREPARED FOR AN EFFORT TO RAISE AWARENESS OF HEALTH COVERAGE OPTIONS AMONG THE UNINSURED AND EXPAND THE RANGE OF CHANNELS THROUGH WHICH UNINSURED INDIVIDUALS WILL HEAR ABOUT NEW HEALTH COVERAGE OPTIONS.

4d Other program services (Describe in Schedule O.) (Expenses \$ including grants of \$) (Revenue \$)

4e Total program service expenses 783,318.

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	X	
2 Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> ?	X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>		X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>		X
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i>		X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>		X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>		X
9 Did the organization report an amount in Part X, line 21; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>		X
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>		X
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i>		X
b Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i>		X
c Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>		X
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>		X
e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i>		X
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>		X
12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI, XII, and XIII</i>		X
b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional</i>		X
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>		X
14a Did the organization maintain an office, employees, or agents outside of the United States?		X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i>		X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? <i>If "Yes," complete Schedule F, Parts II and IV</i>		X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? <i>If "Yes," complete Schedule F, Parts III and IV</i>		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i>		X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>		X
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>		X
20a Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i>		X
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		

Part IV Checklist of Required Schedules (continued)

	Yes	No
21 Did the organization report more than \$5,000 of grants and other assistance to any government or organization in the United States on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II		X
22 Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III		X
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J		X
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25		X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I		X
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I		X
26 Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? If "Yes," complete Schedule L, Part II		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part III		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV		X
b A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV		X
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV		X
29 Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M		X
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I		X
34 Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1		X
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?		X
b Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2		X
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19?	X	

Note. All Form 990 filers are required to complete Schedule O

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response to any question in this Part V

Table with columns for question number, sub-question, and Yes/No responses. Includes questions 1a-14b regarding Form 1096, Form W-2G, Form W-3, foreign accounts, prohibited transactions, and charitable trusts.

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response to any question in this Part VI X

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.		
1a	8		
b	Enter the number of voting members included in line 1a, above, who are independent		
1b	8		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	X
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?	3	X
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4	X
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	5	X
6	Did the organization have members or stockholders?	6	X
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a	X
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b	X
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a	The governing body?	8a	X
b	Each committee with authority to act on behalf of the governing body?	8b	X
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9	X

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a	X
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filling the form?	11a	X
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	X
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	X
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	12c	X
13	Did the organization have a written whistleblower policy?	13	X
14	Did the organization have a written document retention and destruction policy?	14	X
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a	The organization's CEO, Executive Director, or top management official	15a	X
b	Other officers or key employees of the organization If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).	15b	X
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	X
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b	

Section C. Disclosure

- 17 List the states with which a copy of this Form 990 is required to be filed **NONE**
- 18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
 Own website Another's website Upon request
- 19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
- 20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: **DELMA PLUMMER - 202-628-3030**
1201 NEW YORK AVENUE, NW, NO. 1100, WASHINGTON, DC 20005

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response to any question in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's current officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's current key employees, if any. See instructions for definition of "key employee."
- List the organization's five current highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's former officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) VINCENT DEMARCO DIRECTOR	3.00	X						0.	0.	0.
(2) ROGER SCHWARTZ DIRECTOR	3.00	X						0.	0.	0.
(3) RONALD F. POLLACK PRESIDENT	3.00	X		X				0.	0.	0.
(4) DEBRA BARRET DIRECTOR	3.00	X						0.	0.	0.
(5) BRUCE BODAKEN DIRECTOR	3.00	X						0.	0.	0.
(6) TONY BARRUETA DIRECTOR	3.00	X						0.	0.	0.
(7) SISTER CAROL KEEHAN DIRECTOR	3.00	X						0.	0.	0.
(8) RICH UMBDENSTOCK DIRECTOR	3.00	X						0.	0.	0.
(9) DELMA PLUMMER OFFICER	3.00			X				0.	0.	0.
(10) BARBARA CAMPBELL OFFICER	3.00			X				0.	0.	0.
(11) RACHEL KLEIN EXECUTIVE DIRECTOR	40.00			X				52,945.	0.	7,632.

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
1b Sub-total							52,945.	0.	7,632.	
c Total from continuation sheets to Part VII, Section A							0.	0.	0.	
d Total (add lines 1b and 1c)							52,945.	0.	7,632.	

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization 0

	Yes	No
3 Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual		X
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
NONE		

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization 0

Part VIII Statement of Revenue

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514	
Contributions, Gifts, Grants and Other Similar Amounts	1 a	Federated campaigns	1a				
	b	Membership dues	1b				
	c	Fundraising events	1c				
	d	Related organizations	1d				
	e	Government grants (contributions)	1e				
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	3872000.			
	g	Noncash contributions included in lines 1a-1f \$					
	h	Total. Add lines 1a-1f		3872000.			
Program Service Revenue	2 a		Business Code				
	b						
	c						
	d						
	e						
	f	All other program service revenue					
	g	Total. Add lines 2a-2f					
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts)					
	4	Income from investment of tax-exempt bond proceeds					
	5	Royalties					
	6 a	Gross rents	(i) Real				
			(ii) Personal				
			b	Less: rental expenses			
			c	Rental income or (loss)			
	d	Net rental income or (loss)					
	7 a	Gross amount from sales of assets other than inventory	(i) Securities				
			(ii) Other				
			b	Less: cost or other basis and sales expenses			
			c	Gain or (loss)			
	d	Net gain or (loss)					
	8 a	Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c). See Part IV, line 18	a				
			b	Less: direct expenses			
c			Net income or (loss) from fundraising events				
9 a	Gross income from gaming activities. See Part IV, line 19	a					
		b	Less: direct expenses				
		c	Net income or (loss) from gaming activities				
10 a	Gross sales of inventory, less returns and allowances	a					
		b	Less: cost of goods sold				
		c	Net income or (loss) from sales of inventory				
Miscellaneous Revenue		Business Code					
11 a		a					
		b					
		c					
		d	All other revenue				
e	Total. Add lines 11a-11d						
12	Total revenue. See instructions		3872000.	0.	0.	0.	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Check if Schedule O contains a response to any question in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the United States. See Part IV, line 21				
2	Grants and other assistance to individuals in the United States. See Part IV, line 22				
3	Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16				
4	Benefits paid to or for members				
5	Compensation of current officers, directors, trustees, and key employees	70,928.	63,836.	3,546.	3,546.
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7	Other salaries and wages	502,132.	368,174.	120,892.	13,066.
8	Pension plan accruals and contributions (include section 401(k) and section 403(b) employer contributions)	1,925.	1,925.		
9	Other employee benefits	105,892.	68,383.	33,850.	3,659.
10	Payroll taxes	19,128.	18,722.	203.	203.
11	Fees for services (non-employees):				
a	Management	71,083.	56,250.	14,833.	
b	Legal				
c	Accounting	3,472.		3,472.	
d	Lobbying				
e	Professional fundraising services. See Part IV, line 17				
f	Investment management fees				
g	Other	14,884.	14,034.	850.	
12	Advertising and promotion				
13	Office expenses	6,451.	6,186.	265.	
14	Information technology	5,857.	5,857.		
15	Royalties				
16	Occupancy	225,000.	168,750.	56,250.	
17	Travel	8,941.	8,941.		
18	Payments of travel or entertainment expenses for any federal, state, or local public officials				
19	Conferences, conventions, and meetings	2,260.	2,260.		
20	Interest				
21	Payments to affiliates				
22	Depreciation, depletion, and amortization				
23	Insurance	2,383.		2,383.	
24	Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a					
b					
c					
d					
e	All other expenses				
25	Total functional expenses. Add lines 1 through 24e	1,040,336.	783,318.	236,544.	20,474.
26	Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here if following SOP 98-2 (ASC 958-720)

Part X Balance Sheet

		(A) Beginning of year		(B) End of year	
Assets	1	Cash - non-interest-bearing	554,974.	1	2,197,408.
	2	Savings and temporary cash investments		2	
	3	Pledges and grants receivable, net		3	1,300,114.
	4	Accounts receivable, net		4	
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5	
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions)		6	
	7	Notes and loans receivable, net		7	
	8	Inventories for sale or use		8	
	9	Prepaid expenses and deferred charges		9	4,559.
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D		10a	
	b	Less: accumulated depreciation		10b	
	11	Investments - publicly traded securities		11	
	12	Investments - other securities. See Part IV, line 11		12	
	13	Investments - program-related. See Part IV, line 11		13	
	14	Intangible assets		14	
	15	Other assets. See Part IV, line 11		15	
16	Total assets. Add lines 1 through 15 (must equal line 34)	554,974.	16	3,502,081.	
Liabilities	17	Accounts payable and accrued expenses		17	115,443.
	18	Grants payable		18	
	19	Deferred revenue		19	
	20	Tax-exempt bond liabilities		20	
	21	Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23	Secured mortgages and notes payable to unrelated third parties		23	
	24	Unsecured notes and loans payable to unrelated third parties		24	
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D		25	
	26	Total liabilities. Add lines 17 through 25	0.	26	115,443.
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.				
	27	Unrestricted net assets	554,974.	27	3,386,638.
	28	Temporarily restricted net assets		28	
	29	Permanently restricted net assets		29	
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 30 through 34.				
	30	Capital stock or trust principal, or current funds		30	
	31	Paid-in or capital surplus, or land, building, or equipment fund		31	
	32	Retained earnings, endowment, accumulated income, or other funds		32	
	33	Total net assets or fund balances	554,974.	33	3,386,638.
	34	Total liabilities and net assets/fund balances	554,974.	34	3,502,081.

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	3,872,000.
2	Total expenses (must equal Part IX, column (A), line 25)	2	1,040,336.
3	Revenue less expenses. Subtract line 2 from line 1	3	2,831,664.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	554,974.
5	Other changes in net assets or fund balances (explain in Schedule O)	5	0.
6	Net assets or fund balances at end of year. Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B))	6	3,386,638.

Part XII Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII

		Yes	No
1	Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?		X
b	Were the organization's financial statements audited by an independent accountant?		X
c	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.		
d	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		X
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.		

Form 990 (2011)

SCHEDULE A
(Form 990 or 990-EZ)

Public Charity Status and Public Support

OMB No. 1545-0047

2011

Open to Public
Inspection

Department of the Treasury
Internal Revenue Service

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

Name of the organization

ENROLL AMERICA

Employer identification number

27-1661221

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).
- 2 A school described in section 170(b)(1)(A)(ii). (Attach Schedule E.)
- 3 A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).
- 4 A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state: _____
- 5 An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.)
- 6 A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).
- 7 An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 8 A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 9 An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.)
- 10 An organization organized and operated exclusively to test for public safety. See section 509(a)(4).
- 11 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box that describes the type of supporting organization and complete lines 11e through 11h.
 a Type I b Type II c Type III - Functionally integrated d Type III - Other
- e By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).
- f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box
- g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?
 (i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? _____
 (ii) A family member of a person described in (i) above? _____
 (iii) A 35% controlled entity of a person described in (i) or (ii) above? _____
- h Provide the following information about the supported organization(s).

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

(I) Name of supported organization	(II) EIN	(III) Type of organization (described on lines 1-9 above or IRC section (see Instructions))	(IV) Is the organization in col. (I) listed in your governing document?		(V) Did you notify the organization in col. (I) of your support?		(VI) Is the organization in col. (I) organized in the U.S.?		(VII) Amount of support
			Yes	No	Yes	No	Yes	No	
Total									

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2011

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")				1,005,000.	3,872,000.	4,877,000.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3				1,005,000.	3,872,000.	4,877,000.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						3,209,440.
6 Public support. Subtract line 5 from line 4.						1,667,560.

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
7 Amounts from line 4				1,005,000.	3,872,000.	4,877,000.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
11 Total support. Add lines 7 through 10						4,877,000.
12 Gross receipts from related activities, etc. (see Instructions)					12	
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here						<input checked="" type="checkbox"/>

Section C. Computation of Public Support Percentage

14 Public support percentage for 2011 (line 6, column (f) divided by line 11, column (f))	14	%
15 Public support percentage from 2010 Schedule A, Part II, line 14	15	%
16a 33 1/3% support test - 2011. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization		<input type="checkbox"/>
b 33 1/3% support test - 2010. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization		<input type="checkbox"/>
17a 10% -facts-and-circumstances test - 2011. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization		<input type="checkbox"/>
b 10% -facts-and-circumstances test - 2010. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization		<input type="checkbox"/>
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions		<input type="checkbox"/>

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support (Add lines 9, 10c, 11, and 12.)						

14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here

Section C. Computation of Public Support Percentage

15 Public support percentage for 2011 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2010 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2011 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2010 Schedule A, Part III, line 17	18	%

19a 33 1/3% support tests - 2011. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

b 33 1/3% support tests - 2010. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions

Schedule of Contributors

2011

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

Name of the organization Enroll America	Employer identification number 27-1661221
--	--

Organization type (check one):

- | | |
|--------------------|--|
| Filers of: | Section: |
| Form 990 or 990-EZ | <input checked="" type="checkbox"/> 501(c)(3) (enter number) organization |
| | <input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation |
| | <input type="checkbox"/> 527 political organization |
| Form 990-PF | <input type="checkbox"/> 501(c)(3) exempt private foundation |
| | <input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | <input type="checkbox"/> 501(c)(3) taxable private foundation |

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See Instructions.

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization Enroll America	Employer identification number 27-1661221
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Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	----- ----- -----	\$ 1,000,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
2	----- ----- -----	\$ 250,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
3	----- ----- -----	\$ 5,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
4	----- ----- -----	\$ 20,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
5	----- ----- -----	\$ 20,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
6	----- ----- -----	\$ 500,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

Name of organization Enroll America	Employer identification number 27-1661221
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Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7		\$ 300,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
8		\$ 200,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
9		\$ 5,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
10		\$ 100,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
11		\$ 100,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
12		\$ 10,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

Name of organization Enroll America	Employer identification number 27-1661221
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Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
13	----- ----- -----	\$ 50,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
14	----- ----- -----	\$ 10,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
15	----- ----- -----	\$ 50,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
16	----- ----- -----	\$ 150,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
17	----- ----- -----	\$ 200,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
18	----- ----- -----	\$ 300,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

Name of organization Enroll America	Employer identification number 27-1661221
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Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
19	----- ----- ----- -----	\$ 500,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
20	----- ----- ----- -----	\$ 100,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4 ----- ----- ----- -----	(c) Total contributions \$ -----	(d) Type of contribution Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4 ----- ----- ----- -----	(c) Total contributions \$ -----	(d) Type of contribution Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4 ----- ----- ----- -----	(c) Total contributions \$ -----	(d) Type of contribution Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4 ----- ----- ----- -----	(c) Total contributions \$ -----	(d) Type of contribution Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4 ----- ----- ----- -----	(c) Total contributions \$ -----	(d) Type of contribution Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4 ----- ----- ----- -----	(c) Total contributions \$ -----	(d) Type of contribution Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

Name of organization Enroll America	Employer identification number 27-1661221
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Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____
 Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
----- ----- -----		----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
----- ----- -----		----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
----- ----- -----		----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
----- ----- -----		----- ----- -----	

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.

OMB No. 1545-0047

2011

Open to Public
Inspection

Name of the organization

ENROLL AMERICA

Employer identification number

27-1661221

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

STAKEHOLDERS-HEALTH INSURERS, HOSPITALS, DOCTORS, PHARMACEUTICAL
COMPANIES, EMPLOYERS, CONSUMER GROUPS, FAITH-BASED ORGANIZATIONS, CIVIC
ORGANIZATIONS, AND PHILANTHROPIES-TO ENGAGE MANY DIFFERENT VOICES IN
SUPPORT OF AN EASY, ACCESSIBLE, AND WIDELY AVAILABLE ENROLLMENT
PROCESS.

FORM 990, PART VI, SECTION A, LINE 7A: UNDER THE BYLAWS, MEMBERS OF THE
CORPORATION ELECT THE MEMBERS OF THE BOARD OF DIRECTORS UNTIL SUCH TIME AS
NO FEWER THAN TWO OF THE ORIGINAL MEMBERS CONTINUE TO SERVE AS MEMBERS.

FORM 990, PART VI, SECTION A, LINE 8B: ENROLL AMERICA DOES NOT HAVE ANY
COMMITTEES.

FORM 990, PART VI, SECTION B, LINE 11: MANAGEMENT, INCLUDING THE
PRESIDENT, REVIEW THE DRAFT FORM 990. AFTER THEIR REVIEW AND APPROVAL A
COPY IS EMAILED TO THE BOARD OF DIRECTORS.

FORM 990, PART VI, SECTION B, LINE 12C: CONFLICT OF INTEREST DISCLOSURE
FORMS ARE FILED ANNUALLY BY THE DIRECTORS AND THE FORMS ARE REVIEWED AND
MONITORED TO INSURE COMPLIANCE.

FORM 990, PART VI, SECTION B, LINE 15: THE COMPENSATION OF THE EXECUTIVE
DIRECTOR IS DETERMINED BY THE PRESIDENT. THE DECISION IS EXPLAINED IN A
WRITTEN DOCUMENT SUBMITTED BY THE PRESIDENT.

Name of the organization

ENROLL AMERICA

Employer identification number

27-1661221

FORM 990, PART VI, SECTION C, LINE 19: UPON WRITTEN REQUEST, THE ORGANIZATION MAKES IT GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY AND FORM 990 AVAILABLE TO THE PUBLIC. GENERALLY, THE ORGANIZATION DOES NOT MAKE ITS FINANCIAL STATEMENTS AVAILABLE TO THE GENERAL PUBLIC.

Multiple horizontal lines for additional text entry.

Application for Extension of Time To File an Exempt Organization Return

(Rev. January 2012)

OMB No. 1545-1709

Department of the Treasury
Internal Revenue Service

▶ File a separate application for each return.

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box
- If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See Instructions.	Name of exempt organization or other filer, see instructions. Enroll America	Employer identification number (EIN) or <input checked="" type="checkbox"/> 27-1661221
	Number, street, and room or suite no. If a P.O. box, see instructions. c/o 1201 New York Avenue, N.W., Suite 1100	Social security number (SSN) <input type="checkbox"/>
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. Washington, DC 20005	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 1

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

• The books are in the care of ▶ the organization

Telephone No. ▶ 202/737-6340 FAX No. ▶ 202/737-8583

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole group, check this box . If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until August 15, 20 12, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
▶ calendar year 20 11 or

▶ tax year beginning _____, 20 _____, and ending _____, 20 _____

2 If the tax year entered in line 1 is for less than 12 months, check reason: Initial return Final return
 Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$
c	Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.
For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Notice Number: CP211A
Date: June 11, 2012

038254.975825.0124.003 1 AT 0.374 373



Taxpayer Identification Number:
27-1661221
Tax Form: 990
Tax Period: December 31, 2011


ENROLL AMERICA
% RON POLLACK
1201 NEW YORK AVE NW STE 1100
WASHINGTON DC 20005-6100

3254

APPLICATION FOR EXTENSION OF TIME TO FILE AN EXEMPT ORGANIZATION RETURN - APPROVED

We received and approved your Form 8868, Application for Extension of Time to File an Exempt Organization Return, for the return (form) and tax period identified above. Your extended due date to file your return is **August 15, 2012**.

When it's time to file your Form 990, 990-EZ, 990-PF or 1120-POL, you should consider filing electronically. Electronic filing is the fastest, easiest and most accurate way to file your return. For more information, visit the Charities and Nonprofit web at www.irs.gov/eo. This site will provide information about:

- The type of returns that can be filed electronically,
- approved e-File providers, and
- if you are required to file electronically.

If you have any questions, please call us at the number shown above, or you may write us at the address shown at the top of this letter.

EXHIBIT

4

LAW OFFICES
LICHTMAN, TRISTER & ROSS, PLLC
1666 CONNECTICUT AVENUE, N.W., FIFTH FLOOR
WASHINGTON, D.C. 20009

ELLIOTT C. LICHTMAN
MICHAEL B. TRISTER
GAIL E. ROSS
B. HOLLY SCHADLER

PHONE: (202) 328-1666
FAX: (202) 328-9162
www.ltrlaw.com

KAREN A. POST
LILAH S. ROSENBLUM^Δ
ALLEN H. MATTISON^Δ
REA L. HOLMES[○]
^Δ ALSO ADMITTED IN MARYLAND
[○] ONLY ADMITTED IN WISCONSIN

LAURENCE E. GOLD
ALEXANDER W. DEMOTS
Of Counsel

October 20, 2010

By Hand Delivery

Internal Revenue Service
SE:T:EO:RA:T:1
Justin Lowe (3L2)
1111 Constitution Avenue, NW
Washington, D.C. 20224

Re: Enroll America (EIN 27-1661221) - Form 1023

Dear Mr. Lowe:

This letter responds to your letter dated October 4, 2010 requesting additional information concerning the above-referenced organization. A copy of your letter is attached.

At the outset, it is important to understand that Enroll America will not engage in any direct enrollment of eligible individuals. Enrollment will be carried out entirely by federal and state agencies and any public or private entities that may contract with those agencies to assist in the enrollment process. Experience from previous reforms in the health care system and related healthcare programs suggests, however, that there will be significant barriers to full participation by eligible persons. Enroll America's program will be devoted to organizing and coordinating advocacy efforts aimed at addressing these barriers so that the enrollment procedures and policies adopted by the federal and state governments will best effectuate the goals of the legislation. The process of developing these policies and procedures has already begun and will continue until the enrollment periods begin in 2013.

Initial fundraising and other organizational activities for Enroll America are currently being conducted by the Executive Director and other staff of Families USA Foundation, an established 501(c)(3) public charity that has played a major role in the development of the concept for Enroll America and will continue to play a significant part in its activities. The limited funds raised to date have been spent almost entirely on a Business Plan for Enroll America. Implementation will not take place in full until additional funds are raised and staff employed over the coming months. The current three-member board of directors will also be expanded by the end of 2010 to include additional representative of key healthcare stakeholder organizations.

1. a. Enroll America will use a variety of means to involve healthcare system stakeholders in its efforts. An Advisory Council composed of representatives from consumer groups, provider organizations and the healthcare industry is in the process of being formed. To date, approximately twenty organizations have indicated a desire to participate, including the American Cancer Society Cancer Action Network, American Diabetes Association, American Heart Association, Asian Pacific Islander American Health Forum, Association for Community Affiliated Plans, Catholic Charities, Easter Seals, Maximus, National Association of Free Clinics, National Association of Public Hospitals and Health Systems, National Council for Community Behavioral Health Care, National Council of La Raza, National Hispanic Medical Association, National Indian Health Board, National Medical Association, United Way, Voices for America's Children, and Young Invincibles. Other organizations that have been invited to participate include the AARP and NAACP. In addition to meeting of the Advisory Council, Enroll America will hold periodic meetings to discuss the development of the enrollment process over time. Participants in these activities will be encouraged to join with Enroll America in advocating with federal and state officials to improve the enrollment procedures, to engage in their own advocacy activities, and to work with their state and local affiliates to advocate for best practices at the state and local levels.

b. See response to 1 a.

(i) Enroll America plans to contract with outside experts to develop best practices and technical assistance materials that national, state and local organizations can use to advocate for policies and procedures to ensure that all eligible citizens have easy access to the new health care benefits. For example, an "Enrollment Accelerator Package" will include a description of nationally recognized best practices, a timeline for when decisions will be made at the national and state levels, outreach plans for reaching uninsured populations, and template enrollment application and policy options that may be implemented at the state level. Examples of the kinds of materials that will be distributed by Enroll America are attached.

Due to the absence of funding, Enroll America has not yet entered into contracts for the development of these materials. It is considering a wide variety of academic, think tank and advocacy organizations for this effort, including the National Academy of State Health Policy, Center on Budget and Policy Priorities, Families USA Foundation, and the Georgetown Center on Children and Families, each of which have extensive experience in developing the kinds of information Enroll America hopes to disseminate. Contracts will be at ordinary and customary rates for similar work by nonprofits and similar entities.

c. The most important work by government agencies to develop enrollment policies and practices is expected to occur during 2010-2011. As described in response to 1 a., Enroll America and the national organizations that join with it will meet with these agencies periodically to discuss the enrollment process. Many of these meetings will involve the U.S.

Department of Health and Human Services, which is the lead agency on the implementation of the health reform legislation. In addition, Enroll America and its partners will meet with state officials responsible for establishing the insurance exchanges mandated under the reform legislation and state health department and other agency officials responsible for developing enrollment systems for the expanded Medicaid programs. Where state legislation is required to implement the healthcare reforms, Enroll America and its partners may also engage in legislative lobbying efforts to the extent permitted under IRC §§ 501(h) and 4911.

d. (i) As explained above, Enroll America expects to contract with established organizations that are experts in the health care field to provide the materials which it plans to disseminate and to conduct trainings throughout the country. Contractors will be selected on the basis of their experience in addressing problems posed by enrollment of eligible individuals into new public benefit programs. The amounts paid will be based on ordinary and customary rates for similar work.

(ii) Enroll America has not yet produced any materials.

(iii) No fees will be charged for the use or distribution of the materials.

2. a. Enroll America has revised the structure it will implement at the state level. Rather than forming formal consortia as described in the Form 1023, Enroll America now plans to encourage state level stakeholders to form informal collaboratives (working groups) to engage state and local officials with respect to the enrollment policies and procedures being developed. These collaboratives will have no formal structure and will be open to all organizations in a particular state who are interested in developing suitable enrollment policies and procedures for the new benefit programs.

Collaboratives will be expected to develop plans by which they hope to influence the enrollment process in their states. No model plan has been developed. It is expected that the plans will include a list of goals for the enrollment process to ensure that it will be user-friendly and will reach all segments of the uninsured population, as well the steps that the members of the coalition hope to take to achieve these goals, such as meetings with specific agency officials and specific efforts to educate the public about the issues.

b. Depending upon the success of its fundraising efforts, Enroll America currently plans to provide funding to a participating nonprofit organization in each of the states to hire a "facilitator" to work with the collaboratives. No formal process will be followed to apply for these funds. Rather, based on its knowledge of activities in particular states, Enroll America will solicit applications from an appropriate nonprofit to host the facilitator. No such grants have been made at the present time. Contracts with the local groups will provide that funds may only be used to support IRC § 501(c)(3) activities.

c. No state plans have been developed to date. State plans will draw from the Enroll America business plan, and should include a description of proposed outreach efforts to expand the groups participating in the collaborative, specific types of interactions with state officials, and the types of enrollment policies and procedures that it hopes to obtain. Goals may include, for example, adoption of easily understood application forms, development of a network of application sites at locations frequented by the uninsured, including points of healthcare contact such as clinics, emergency rooms, and doctors' offices, the use of on-line application procedures, and access to assistance in the enrollment process.

d. There will be no formal governance structures for the state collaboratives. No national organization will control the collaboratives.

e. Enroll America staff and the state facilitators will be in regular contact with the state collaboratives to render technical assistance and to evaluate their progress.

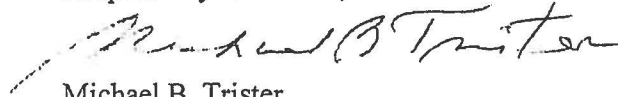
f. Specific benchmarks have not yet been developed. State collaboratives will likely be evaluated on their ability to bring together a diverse group of stakeholders as active participants, the efforts made to interact with state officials, and the extent to which the goals set out in the state plan have been achieved.

g. Enroll America no longer plans to provide support directly to the state consortia.

3. Enroll America and the state collaboratives will not enroll eligible individuals in the new health benefit programs.

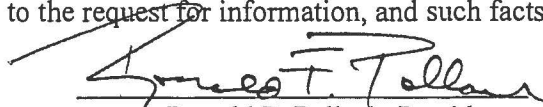
4. The Business Plan is attached. This plan is intended as a preliminary document to provide potential partner organizations with a clear sense of how the organization will operate. Some of the activities described in the business plan will evolve to keep pace with developments at the federal and state levels and as more partners become active in Enroll America.

Respectfully submitted,



Michael B. Trister

Under penalties of perjury, I declare that I have examined the foregoing information, including accompanying documents, and, to the best of my knowledge and belief, the information contains all of the relevant facts relating to the request for information, and such facts are true, correct, and complete.


Ronald F. Pollack, President

EXHIBIT

5

TOM HARKIN, IOWA, CHAIRMAN

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United States Senate

COMMITTEE ON HEALTH, EDUCATION,
LABOR, AND PENSIONS

WASHINGTON, DC 20510-6300

PAMELA J. SMITH, STAFF DIRECTOR
DAVID P. CLEARY, REPUBLICAN STAFF DIRECTOR

<http://help.senate.gov>

May 13, 2013

The Honorable Kathleen Sebelius
Secretary
U.S. Department of Health and Human Services
330 Independence Avenue, SW
Washington, DC 20201

Dear Madam Secretary:

I am writing to request information about your apparent attempts to avoid the constitutional limits on the Department of Health and Human Services' appropriations by fundraising for, and coordinating with, the private non-profit Enroll America. These actions are inconsistent with federal separation of powers principles and may violate federal appropriations laws.

It has been widely reported in the media that you asked the private sector to make large financial donations to Enroll America, a nonprofit organization run by a former White House aide, to support implementation of the Patient Protection and Affordable Care Act, and may have raised money for other outside organizations for the same purpose. According to at least one report, you made these appeals both by telephone and in speeches.

The Appropriations Clause is arguably the single most important curb in the Constitution on executive branch power. Article I of the Constitution gives Congress alone the power of the purse. This means a federal agency is dependent on Congress for its funding, and it is up to Congress to make important policy choices about whether or not to provide funds for a particular program and to fix that level of funding or set terms and conditions on its use.

The Antideficiency Act enforces these principles and generally prohibits entering into contracts or obligations or accepting voluntary services for the United States in excess of available appropriations. Depending on the relationship between HHS and Enroll America, the level of control exercised by your agency over the organization, and the nature of the activities in which Enroll America is engaged, HHS may be creating obligations or accepting services in violation of the Antideficiency Act.

It also appears that your actions may be in violation of the "rule against augmentation of appropriations," which is meant to "prevent a government agency from undercutting the congressional power of the purse by circuitously exceeding the amount Congress has appropriated for that activity." GAO-06-382SP Appropriations Law Vol. II, page 6-162. This

includes a prohibition on certain voluntary services in 31 U.S.C. §1342 and the restriction of the use of appropriated funds to their intended purposes in 31 U.S.C. §1301(a).

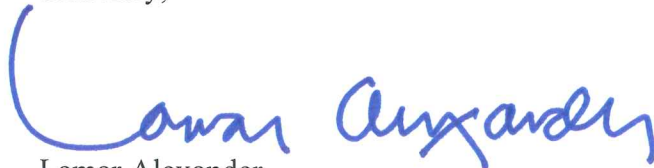
In public statements, your spokesman has claimed that the Public Health Service Act (PHSA) authorizes you to engage in fundraising to support these efforts. However, the possibly relevant sections of the PHSA, 42 U.S.C. §§300u-1, 2, or 3, only provide —and in a parenthetical, at that — for you to “encourage others to support” efforts in health information and health promotion, preventive health services, and education in the appropriate use of health care. Fundraising in your official capacity as Secretary for a specific organization that exists to promote the President’s health care law and is controlled significantly by entities that have a vested interest in the law’s implementation is a far cry from what Congress intended.

Accordingly, in order to assess the propriety of HHS’s activities, please provide the following information:

- 1) A description of the degree to which HHS and Enroll America (or any other outside entities) are coordinating efforts on the implementation of the Patient Protection and Affordable Care Act.
- 2) Copies of correspondence and the number of meetings (including date and time) as well as a description (or copy where available) of any written or oral agreements between or among HHS, Enroll America or other outside entities assisting HHS in implementing the Affordable Care Act.
- 3) A list of each time HHS has relied on the provisions of the Public Health Service Act to solicit donations for specific outside private entities, the amounts solicited, and the scope of coordination with that outside entity, if any.
- 4) A list of amounts and specific accounts for all transfers made to fund implementation of the Affordable Care Act at HHS since March 23, 2010, and whether any funds have been received from other government agencies or donated to assist HHS implementation.
- 5) A list of all HHS personnel who have been involved with fundraising for outside entities in order to support Affordable Care Act implementation, including but not limited to soliciting donations on behalf of Enroll America.
- 6) A list of all individuals (and their affiliations) that you or your subordinates contacted or addressed in order to fund Enroll America (or any other outside entity).

Thank you for your attention to this matter. If you have any questions, please have your staff contact my General Counsel, Michael Merrell, at (202) 224-6770.

Sincerely,



Lamar Alexander

EXHIBIT

6

ONE HUNDRED THIRTEENTH CONGRESS
Congress of the United States
House of Representatives

COMMITTEE ON ENERGY AND COMMERCE

2125 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515-6115

Majority (202) 225-2927
Minority (202) 225-3641

May 13, 2013

The Honorable Kathleen Sebelius
Secretary of Health and Human Services
The Hubert H. Humphrey Building
200 Independence Avenue, S.W.
Washington, D.C. 20201

Dear Secretary Sebelius:

Pursuant to Rules X and XI of the United States House of Representatives, the Committee on Energy and Commerce is investigating reports that you may be soliciting donations from private companies in your capacity as Secretary of the Department of Health and Human Services (HHS).

According to a May 10, 2013, *Washington Post* article, “[o]ver the past three months, [you have] made multiple phone calls to health industry executives, community organizations and church groups and asked that they contribute whatever they can to nonprofit groups that are working to enroll uninsured Americans and increase awareness of the law....”¹ This article also quotes an HHS spokesman who claims that you “did not solicit for funds directly from industries that HHS regulates, such as insurance companies and hospitals, but rather asked them to contribute in whatever way they can.” Yet, the article claims that an industry official with knowledge of your requests said “there was a clear insinuation by the administration that the insurers should give financially to the nonprofits.”

Currently, health insurers are seeking HHS approval to qualify for the health exchanges established by the Patient Protection and Affordable Care Act so that they may attempt to sell their services to the public when enrollment begins in a few months. Your agency also has the power to review the insurance rates that providers wish to charge.

In order to better understand the requests you have been making, please provide the following information and documents no later than May 27, 2013:

¹ Sarah Kliff, *Budget request denied, Sebelius turns to health executives to finance Obamacare*, WASHINGTON POST, May 10, 2013 available at <http://www.washingtonpost.com/blogs/wonkblog/wp/2013/05/10/budget-request-denied-sebelius-turns-to-health-executives-to-finance-obamacare/>.

1. Identify each individual, company, or organization you have contacted with this “unusual fundraising pitch,”² by phone, e-mail, or any other manner, relating to contributions to assist in the establishment and implementation of the PPACA.
2. Identify the officials, staff, or employees of the Department of Health and Human Services who participated in contacting any individuals, companies, or organizations relating to contributions to assist in the establishment and implementation of the PPACA.
3. Describe these communications, including any information you may have provided and a description of any assistance requested, such as financial assistance, the completion of tasks or activities, or any other efforts. Describe any additional information related to the specifics of each individual call that may more completely describe the purpose, nature, and outcome of the discussion.
4. Provide all documents related to the conversations described in Request Number 1, including, but not limited to, call logs, notes taken during these discussions, e-mails scheduling a call or discussion, e-mails documenting a call or discussion, e-mails summarizing the call or discussion, and any other written documentation either memorializing or otherwise describing the call or discussion, its outcome, or future plans.
5. The *Washington Post* reported that an HHS spokesman said a “special section in the Public Health Service Act allows the secretary to support and encourage others to support nonprofit groups working to provide health information and conduct other public-health activities.” Identify this section and provide any documentation detailing HHS’s opinion of what this section permits or forbids.
6. This Committee has previously asked you to identify the funding sources and amounts spent and/or allocated by HHS for the implementation of the PPACA, including most recently on April 18, 2013, when you testified before the Subcommittee on Health. We have not received a response. Please identify each funding source used by HHS for the purpose of PPACA implementation activities and the amounts spent or allocated for each activity. In addition, please provide all documents, including memoranda or analyses, relating to PPACA implementation activities and/or the funding of PPACA implementation activities.
7. The *Washington Post* reports that your efforts to solicit funding from outside sources is directly related to the “denial” of your recent budget request. Identify any individual or office within HHS, or any other federal agency or office, you contacted relating to HHS efforts to seek contributions or voluntary services to assist in the establishment and implementation of the PPACA. Please explain what advice you received. Provide all documents and communications between or among you and any other federal agency or office relating to HHS efforts to seek contributions or voluntary services to assist in the establishment and implementation of the PPACA.

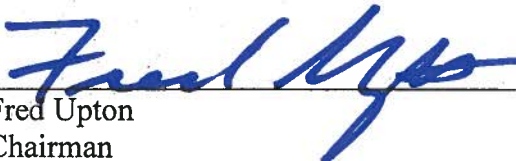
² *Id.*


Letter to the Honorable Kathleen Sebelius


Page 3


Instructions for responding to the Committee's document requests are included as an attachment to this letter. Thank you for your prompt attention to this matter. If you have questions or wish to discuss your responses or production, please contact Sean Hayes with Committee staff at (202) 225-2927.


Sincerely,



Fred Upton
Chairman


Tim Murphy
Chairman
Subcommittee on Oversight and Investigations


Joe Barton
Chairman Emeritus


Joseph R. Pitts
Chairman
Subcommittee on Health


Marsha Blackburn
Vice Chairman


Michael C. Burgess
Vice Chairman
Subcommittee on Oversight and Investigations
and Subcommittee on Health

Attachment

cc: The Honorable Henry Waxman, Ranking Member

The Honorable Frank Pallone, Ranking Member
Subcommittee on Health

The Honorable Diana DeGette, Ranking Member
Subcommittee on Oversight and Investigations

EXHIBIT

7

Congress of the United States
Washington, DC 20510

May 16, 2013

The Honorable Gene Dodaro
Comptroller General of the United States
Government Accountability Office
441 G Street, NW
Washington, DC 20548

Dear Comptroller General Dodaro:

We are writing to request that the Government Accountability Office (GAO) conduct an audit of the facts related to Department of Health and Human Services (HHS) Secretary Sebelius' solicitation of funds for a private sector entity that she is working with to implement the Patient Protection and Affordable Care Act (PPACA). The Secretary's actions show an apparent disregard for constitutional principles and may violate the Antideficiency Act, the prohibition against augmenting congressional appropriations, and executive branch ethics laws.

Various news outlets have reported that Secretary Sebelius has asked individuals in the private sector to make large financial donations to Enroll America, a nonprofit organization run by a former White House aide, to support HHS' implementation of PPACA. An HHS spokesman even explained to the *Washington Post* that HHS is intentionally taking these actions because Congress did not provide additional appropriations, "We requested additional money ... but we didn't receive any additional funding for the exchanges. So we've had to come up with a Plan B."¹

The Appropriations Clause is arguably the single most important curb in the Constitution on executive branch power. Article I of the Constitution gives Congress alone the power of the purse. This means a federal agency is dependent on Congress for its funding, and it is up to Congress to make important policy choices about whether or not to provide funds for a particular program and to fix that level of funding or set terms and conditions on its use.

The Antideficiency Act enforces these principles and generally prohibits entering into contracts or obligations or accepting voluntary services for the United States in excess of available appropriations. Depending on the relationship between the Secretary and Enroll America, the level of control exercised by the administration, and the nature of the activities the organization is engaged in, HHS may be creating obligations or accepting services in violation of the Antideficiency Act.

¹ "Budget request denied, Sebelius turns to health executives to finance Obamacare," *Washington Post*, May 10, 2013.

It also appears that the Secretary's actions may be in violation of the "rule against augmentation of appropriations," which GAO describes as meant to "prevent a government agency from undercutting the congressional power of the purse by circuitously exceeding the amount Congress has appropriated for that activity."² This includes a prohibition on certain voluntary services in 31 U.S.C. §1342 and the restriction of the use of appropriated funds to their intended purposes in 31 U.S.C. §1301(a). As one recent GAO decision stated, "a congressional appropriation establishes a maximum authorized program level, meaning that an agency cannot, absent statutory authorization, operate beyond the level that can be paid for by its appropriations."³

The Secretary's actions also may be in violation of federal ethics rules against fundraising from regulated entities. For example, Office of Government Ethics Rule §2635.808 prohibits fundraising in an official's private capacity from any person or entity that is seeking official action by that official's agency, that does business or seeks to do business with the agency, or has interests that may be substantially affected by performance or nonperformance of the official's duties. The Washington Post reported that the Secretary was fundraising from "health industry executives," which may be prohibited sources under the ethics rules.

In public statements, an HHS spokesman has claimed that the Public Health Service Act (PHSA) authorizes the Secretary to engage in these efforts in her official capacity. Section 2635.808(b) of the ethics rules allows an employee to fundraise in an official capacity but only if in accordance with a specific authorization to do so. However, the possibly relevant sections of the PHSA, 42 U.S.C. §§300u-1, 2, or 3, only provide—and in a parenthetical at that—for the Secretary to "encourage others to support" efforts in health information and health promotion, preventive health services, and education in the appropriate use of health care. Fundraising by the Secretary in her official capacity for a specific organization that exists to promote the President's health care law and that is controlled significantly by entities that have a vested interest in the law's implementation is a farfetched interpretation of this statute. This is especially true given that the agency is citing a parenthetical reference in a thirty plus year old statute that has not to our knowledge previously been interpreted to authorize this sort of activity.

As we examine this matter carefully we request that GAO focus on the facts of the matter to inform our deliberative process on what steps Congress should pursue to protect the prerogative of the legislative branch. Accordingly, we are writing to request that GAO gather the facts surrounding Secretary Sebelius' actions and provide a detailed report on them. Among other things, we would like GAO to provide the following information as part of the report:

- 1) A list of all outside entities that HHS is soliciting funds on behalf of for purposes of assisting HHS in the implementation of PPACA.

² GAO-06-382SP Appropriations Law Vol II, page 6-162.

³ B-300248, Jan. 15, 2004.

- 2) A description of the degree to which HHS and Enroll America (or other outside entities) are coordinating efforts on PPACA implementation, including a summary of relevant correspondence and the number of meetings as well as a description (or copy where available) of any written or oral agreements between or among them.
- 3) A list of HHS personnel and their positions who have been involved with fundraising for outside entities to support PPACA as well as whether any other federal government personnel outside HHS have been soliciting funds.
- 4) A description of the government resources, including email, travel, telephones, government employee time, etc. used for fundraising on behalf of Enroll America or other entities and the costs (estimated or actual) incurred in doing so.
- 5) A list of all individuals (and their affiliations) that the Secretary or her subordinates contacted or addressed in order to fund Enroll America (or any other outside entity).
- 6) A description of the specific request(s) made to those individuals or entities that Secretary Sebelius or her subordinates contacted, including whether HHS specifically requested donations for Enroll America or any other outside entity and the amounts requested.
- 7) A description of any commitments or offers made by HHS to those individuals or entities that were contacted, including whether HHS specifically offered any benefit or threatened any punishment in exchange for donating or not donating to Enroll America.
- 8) Whether Secretary Sebelius or other HHS personnel were aware of the results of their solicitations of funds and/or whether Enroll America reported to HHS about their financial activities, donors or amounts donated, and if so what those reports showed and how HHS used that information/those reports.
- 9) Information about whether the Secretary and her subordinates received clearance for this activity from the designated agency ethics official, the Office of Government Ethics, and/or the General Counsel of HHS, the Department of Justice, and/or the White House and, if so, when that clearance was received as well as a copy of any ethics opinion(s).
- 10) Information about whether HHS has ever used the provisions of the Public Health Services Act relied upon for this activity to solicit donations for outside private entities before and the scope of that activity, if any.
- 11) A list of amounts and specific accounts for all transfers made by the Secretary or her subordinates to fund PPACA implementation at HHS since March 23, 2010 and whether any funds have been received from other government agencies or donations received from the private sector to support HHS implementation of the Act.

The Honorable Gene Dodaro
May 16, 2013
Page 4 of 4

Thank you for your attention to this matter.

Sincerely,



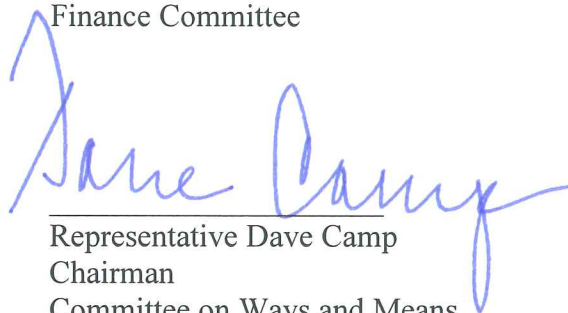
Senator Lamar Alexander
Ranking Member
Health, Education, Labor and
Pensions Committee



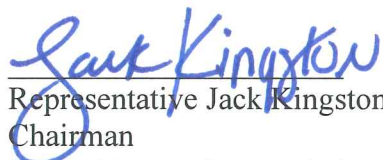
Senator Orrin Hatch
Ranking Member
Finance Committee



Representative Fred Upton
Chairman
Committee on Energy & Commerce



Representative Dave Camp
Chairman
Committee on Ways and Means



Representative Jack Kingston
Chairman
Committee on Appropriations
Subcommittee on Labor, Health and Human
Services, Education, and Related Agencies

EXHIBIT

8



GOP chairmen want IG to look into Sebelius calls on ObamaCare

By Elise Viebeck - 05/30/13 12:20 PM ET

Leading Senate Republicans are calling for an independent federal investigation of Health and Human Services Secretary Kathleen Sebelius's fundraising efforts for a nonprofit that will promote ObamaCare.

GOP leaders on the Senate Finance, Health and Homeland Security committees on Thursday asked the Health and Human Service Department's inspector general to probe Sebelius's phone calls to health industry players.

Sebelius has been under fire for the calls, in which she sought funds for Enroll America, a group with close Obama administration ties that was established to educate the public about new coverage options under the Affordable Care Act.

"These activities call into question whether appropriations and ethics laws are being followed," the senators wrote in a letter to Inspector General (IG) Daniel R. Levinson.

"While we recognize that under current law, there are certain circumstances in which Executive Branch officials might be allowed to participate in fundraising in an official capacity, the precise nature and appropriateness of Secretary Sebelius's activities is not clear from public reports," the senators wrote.

The letter was signed by ranking members Orrin Hatch (Utah) of the Senate Finance Committee, Lamar Alexander (Tenn.) of the Senate Health, Education, Labor and Pensions Committee, and Tom Coburn (Okla.) of the Senate Homeland Security Committee.

The request for an IG probe is the latest move by congressional Republicans to scrutinize the fundraising calls, which some have characterized as a "shakedown" that will benefit Obama supporters.

One insurance industry official [recently told The Hill](#) the close links between the White House and Enroll America have created an "air of expectation" that insurers will donate to the group.

Republicans on the House Energy and Commerce, and Ways and Means committees have launched their own investigations into Sebelius's fundraising, arguing that the secretary should not seek donations from the industries she regulates.

The Health and Human Services (HHS) Department said Sebelius's outreach was legal and has several precedents in the George W. Bush administration.

The department also said Sebelius only made two phone calls, and several Bush-era health officials have come to her defense.

The criticism of the Enroll America fundraising comes at a delicate time for the Obama administration, which is besieged by several controversies as it works to roll out major provisions of the Affordable Care Act next year.

Sebelius and her department are deep into the law's most complicated tasks, including the construction of more than 20 federally facilitated health insurance exchanges for states that refused to create their own. Those exchanges are intended to be “one-stop shops” for people looking to buy subsidized insurance.

But the success of the exchanges depends, in large part, on whether people sign up for them. Without enrollment from a wide cross section of the uninsured, the marketplaces could stumble out of the gate.

Magnifying the challenge, polling has found a wide swath of the public isn't aware that ObamaCare is still the law of the land.

Enroll America wants to change that. The group is run by former Obama administration officials and will use campaign-style techniques to target the uninsured with information about their new benefits.

HHS says the effort will be vital to healthcare reform's success because lawmakers have not appropriated enough funds to properly implement the law. The divided Congress has ignored several requests by the administration for more money.

Sebelius is at the center of the storm as Republicans, and even some Democrats, raise alarms about the implementation of the healthcare overhaul.

Republicans have regarded the former Kansas governor with suspicion since she violated the Hatch Act, a prohibition on political activity by federal employees, during the last election. HHS has since rectified the offense.

Progressive lawmakers recently [voiced frustration](#) with Sebelius, saying she's too defensive, but many other Democrats defended her as making the best of a difficult situation.

[Follow @eliseviebeck](#)

This story was first posted at 10:38 a.m. and has been updated.

Source:

<http://thehill.com/blogs/healthwatch/health-reform-implementation/302541-top-republicans-call-for-sebelius-investigation>

EXHIBIT

9



June 3, 2013

The Honorable Lamar Alexander
Ranking Member
Committee on Health, Education, Labor and Pensions
United States Senate
Washington, DC 20510

Dear Senator Alexander:

Thank you for your letter concerning some of the most recent outreach efforts the Secretary of Health and Human Services has undertaken to ensure successful implementation of the Affordable Care Act. Secretary Sebelius has asked that I respond on her behalf.

As you know, the Affordable Care Act was signed into law on March 23, 2010, putting in place historic, comprehensive reforms that improve access to affordable health coverage for everyone and protect consumers from abusive insurance company practices. The law allows Americans to make health insurance choices that work for them while guaranteeing access to care for our most vulnerable citizens, and provides new ways to bring down costs and improve quality of care. Access to health coverage is more than a safeguard from hardship that every citizen deserves. It means that instead of foregoing doctors' visits and other preventive measures because of cost, Americans will get the care they need to prevent chronic diseases, improving their health and reducing the burden of illness and disease on individuals, their families, and our health care system. And the security of health coverage not tied to a job means that entrepreneurs will no longer fear striking out on their own to start a small business, helping create jobs and boosting economic growth. In short, coverage expansion in the Affordable Care Act will benefit the nation's personal and economic health.

We appreciate the opportunity to respond to some of the misperceptions about the scope of the Secretary's authority – and responsibility – to work with a wide range of public and private partners who share the President's goal of ensuring that all Americans will have access to quality, affordable health insurance in 2014, and that our implementation of the law succeeds. Congress has neither barred the Department of Health and Human Services (the Department or HHS) from implementing the law nor prohibited the Department from using funds initially authorized by the Affordable Care Act, and the statute remains the law of the land. Our continuing work to implement the law, including but not limited to using public-private partnerships to reach and enroll Americans in health insurance through the Health Insurance Marketplaces, is entirely appropriate and consistent with law. Our private sector partners are not providing services to HHS; they are providing services to Americans seeking health insurance.

More importantly, Secretary Sebelius has the authority under Title XVII of the Public Health Service (PHS) Act to encourage members of the public to support activities surrounding enrollment and non-profit organizations that are helping Americans enroll in health insurance through the Marketplaces. The Secretary can and should encourage private organizations that are, on their own initiative, helping people enroll in health insurance through the exchanges and providing them information and advice on how to access the new coverage options.

Public-Private Partnerships to Advance the Public Health:

As described in more detail below, under the PHS Act, Congress has given the Secretary authority to encourage outside organizations to educate the public about important health care services and to assist in making public health services available on a wider basis. The PHS Act also allows the Secretary to encourage others to provide financial support to organizations working to promote various health care programs. This authority is broader than the authority that Congress typically grants to executive branch officials. In fact, the Secretary has used far less authority than she is permitted by law and her actions have been entirely consistent with those of previous Department Secretaries.

Secretaries of HHS have a long tradition of working with the private sector on public-private initiatives. For example, previous Secretaries from both parties marshaled private sector support to enroll eligible beneficiaries in two important programs that expanded the availability of health insurance – Medicare Part D and the Children’s Health Insurance Program. The public education challenges currently facing HHS, as it works to implement the Affordable Care Act, are not dissimilar to those faced by HHS in 2005 during the implementation of Medicare Part D. In order to ensure that Medicare beneficiaries had the information they needed about the new drug benefit, former HHS Secretary Leavitt and Centers for Medicare & Medicaid (CMS) officials launched a large-scale national outreach program in 2005 to carry the Part D message across the country.

Secretary Leavitt developed successful partnerships with a wide range of stakeholders, including hospitals, unions, trade associations, non-profit organizations, universities, faith-based organizations, advocacy groups, pharmacy chains, and pharmaceutical companies, in a far-reaching effort to educate Medicare beneficiaries and their caregivers about the Medicare Part D prescription drug benefits that would become available in 2006. The former Secretary, along with CMS officials, worked closely with numerous corporate partners who distributed promotional material through their own and partners’ information channels, sent endorsed mailings to affiliated organizations announcing their partnership on outreach and enrollment, conducted application/enrollment sessions, actively went to hard-to-reach beneficiaries, and provided one-on-one counseling and assistance. Private organizations played crucial roles in the outreach campaign. AARP, for example, committed substantial resources to educating beneficiaries through its local chapters and national media campaigns. Wal-Mart and Humana partnered with HHS to educate their customers about the new benefit. In addition, over 100

organizations participated in the Access to Benefits Coalition to educate Medicare beneficiaries with lower incomes.

Other examples of public-private partnerships include the Centers for Disease Control and Prevention's (CDC) partnerships with Rotary International, the World Health Organization, and UNICEF through the Global Polio Eradication Initiative that now includes the Bill and Melinda Gates Foundation. This successful public-private partnership has helped reduce the number of polio cases worldwide by more than 99.8 percent since 1988. And, just last year, the Secretary and the Attorney General announced the formation of a public-private partnership to prevent health care fraud. The partnership, made up of the federal government, state agencies, private health insurance organizations, and health care anti-fraud groups, is designed to reveal and halt fraud schemes that cut across public and private payers by sharing important information and best practices for fraud prevention among stakeholders.

In addition, the Foundation for the National Institutes of Health (FNIH), a non-profit organization established by Congress, helps create public-private partnerships to facilitate and accelerate the biomedical research work of the NIH. In September 2012, the FNIH received a donation from the National Football League to fund research into brain injuries. This \$30 million donation will help the National Institute for Neurological Disorders and Stroke fund research on traumatic brain injuries that will help sports professionals, members of the military, and the general population, and will accelerate important understanding of brain injuries. Similarly, the CDC Foundation builds bridges between the CDC and the private sector to support health programs around the world. By creating these partnerships, the CDC Foundation has supported more than 600 CDC programs around the world, and has accelerated and expanded the public health initiatives of the CDC and its private partners.

Current Public-Private Partnership Outreach:

The American public deserves timely and accurate information about the health insurance choices they will have when the Health Insurance Marketplaces open on October 1, 2013, including where to go for help enrolling in a plan, and how to apply for tax credits or subsidies for which they may be eligible. Secretary Sebelius has embraced the opportunity to spearhead an extensive outreach campaign to educate the public and enlist private sector partners to ensure that their fellow citizens can obtain needed coverage. Many of these corporate leaders have participated in similar HHS campaigns in the past.

Since January 2013, the Secretary has engaged in an ongoing dialogue with a wide variety of stakeholders through meetings, phone calls, and public statements. This outreach has included faith institutions, patient groups, consumer groups, clinician groups, retail pharmacies, insurers, pharmaceutical companies, hospitals, media companies, telecommunications companies, the restaurant industry, the entertainment industry, the food and beverage industry, charitable foundations, think tanks, state and local government officials, and others who share the Department's goal of ensuring that open enrollment will be successful this fall. HHS's educational efforts have included briefings requested by both Republican and Democratic Members of Congress and their

staff at which we have provided information on enrollment they can share with Members' constituents. In addition, the Secretary has participated in meetings, including those held at the White House, with retail pharmacy representatives, insurance sector leaders, and private foundation leaders, to discuss outreach and enrollment plans. This type of stakeholder outreach is entirely appropriate, and more importantly, expected of the chief Cabinet member charged with ensuring that Americans receive the information they need about the new health insurance opportunities they are entitled to under the law. Those efforts will continue and increase as we get closer to open enrollment this fall.

Enroll America:

We read your letter as focusing on HHS's work with Enroll America as a partner in those efforts. Of course private entities may undertake activities on their own initiative to ensure that Americans enroll in insurance through the Health Insurance Marketplaces. Enroll America is one of the organizations playing a central role in these efforts. It is a non-partisan, non-profit organization founded in 2011 whose mission is to educate Americans about their health insurance options and help those eligible enroll in the health insurance coverage that is right for them, a mission closely aligned with that of HHS. Enroll America's Board of Directors includes representatives of Families USA, the Maryland Citizens' Health Initiative, the National Association of Community Health Centers, Teva Pharmaceuticals USA, Blue Shield of California, Kaiser Permanente, the Catholic Health Association of the United States, and the American Hospital Association. The organization and the members of its board are playing a central organizing role in the private sector's efforts to educate the public, and to ensure a successful enrollment process this fall.

During many of Secretary Sebelius's conversations with interested stakeholders, the Secretary has encouraged them to work with Enroll America. As described in detail below, in two instances involving private organizations that the Department does not regulate, the Secretary asked that the organization consider making a financial contribution to Enroll America. Also, at Enroll America's suggestion, the Secretary urged three other stakeholders to work with Enroll America, but without requesting they provide financial assistance. In each of these instances, and in meetings and public settings involving other stakeholders, the Secretary's objective was to ensure that our private partners would be effective in their own efforts to reach Americans eligible for insurance through the Marketplaces. To that end, she has encouraged the sharing of insights, marketing know-how, and community-based experiences to help non-profits like Enroll America effectively reach and work with Americans seeking health insurance.

Statutory Authority:

The law specifically authorizes the Secretary to ask private entities to consider giving financial support to a third party non-profit organization or to work with private partners whose mission aligns with that of HHS.

As described below, and consistent with the advice of HHS's Office of the General Counsel, the Secretary's outreach was conducted pursuant to her statutory authority under Section 1703 of Title XVII of the Public Health Service Act, which provides as follows:

“The Secretary is authorized to conduct and support by grant or contract *(and to encourage others to support)* new and innovative programs in health information and health promotion, preventive health services, and education in the appropriate use of health care....” 42 U.S.C. § 300u-2 (emphasis added).

This express authority has been available since 1976 and provides to the Secretary broad authority beyond that available to other government officials. The legislative history of Title XVII makes clear that the statute was meant to further a “complementary national public and private strategy to improve consumer health education and promotion” (S. Rep. 94-330 at 25), and to establish a “large-scale program of public and private support” (Id. at 26). When it enacted the authority, Congress recognized that “the needs and problems are so major and complex that progress will depend upon a major long-term commitment by both the public and private sectors of society. It is to meld such efforts, provide for a focal point for the Nation’s multiple but disparate health education and promotion activities, improve the health status of Americans, design a mechanism by which we may establish a national health education and promotion strategy, that [Title XVII] has been proposed.” Id. at 25. Section 1703 thus authorizes the Secretary to encourage members of the public (including but not limited to entities regulated by HHS) to support private organizations working to inform American consumers about their forthcoming health care options, including through financial support if they so choose.

In addition, section 1704 of the PHS Act provides as follows:

“The Secretary is authorized to conduct and support by grant or contract *(and encourage others to support)* such activities as may be required to make information respecting health information and health promotion, preventive health services, and education in the appropriate use of health care available to the consumers of medical care, providers of such care, schools, and others who are or should be informed respecting such matters.” 42 U.S.C. § 300u-3 (emphasis added).

Section 1704 expressly permits the Secretary to encourage outside organizations to support activities regarding enrollment of “consumers of medical care.” The Secretary’s activities pursuant to these sections do not raise issues under the Anti-Deficiency Act (ADA), which bars augmentation of federal appropriations.

Moreover, the federal ethics regulations – known as the “Standards of Ethical Conduct for Employees of the Executive Branch,” and which are cited for the proposition that fundraising is permitted only if no official title is used and funds are not requested from a subordinate or from someone who has or seeks business with the employee’s agency – do not apply to official fundraising efforts conducted by a federal agency acting through its officials.

As the title of the ethics standards denotes, the cited rules apply to the personal fundraising activities of individual employees conducted for their personal benefit or that of their favored charities. The federal ethics regulations in fact contemplate “official fundraising” using official title, where authorized by law, and do not purport to dictate the ethical parameters under which agencies may act. The relevant section, 5 C.F.R. § 2635.808(b), provides that “an employee may participate in fundraising in an official capacity if, in accordance with a statute, Executive order, regulation or otherwise as determined by the agency, he is authorized to engage in the fundraising activity as part of his official duties. When authorized to participate in an official capacity, an employee may use his official title, position and authority.” The PHS Act provides the Secretary this authority.

As we have stated publicly, the Secretary has made two requests for financial contributions to Enroll America in the exercise of her Title XVII authority. Both discussions, which were initiated at the suggestion of Enroll America and are described below, focused on steps these organizations could take to help Americans become informed about and access affordable health care coverage.

Robert Wood Johnson Foundation (RWJF). RWJF is the United States’ largest philanthropy devoted exclusively to health and health care. The foundation’s mission is to improve the health and health care of all Americans. Its State Health Reform Assistance Network produces reports, toolkits, and other resources to help states develop effective outreach to help consumers navigate the process of enrolling through the new marketplaces. During a telephone call on January 29, 2013, Secretary Sebelius spoke with the President of RWJF about outreach and enrollment. The Secretary thanked RWJF for its previous financial support for Enroll America and encouraged RWJF to continue providing significant financial support for the organization’s efforts.

H&R Block. The Secretary had a telephone conversation with H&R Block, a tax preparation company, on April 29, 2013, to discuss the shared goal of educating Americans about the value of health insurance, and the fact that a number of outside organizations are committed to raising awareness and promoting enrollment in health insurance through the Health Insurance Marketplaces, including Enroll America. Consistent with her Title XVII authority, the Secretary described Enroll America’s role in coordinating private sector outreach, and asked if H&R Block would be willing to make a financial contribution to Enroll America.

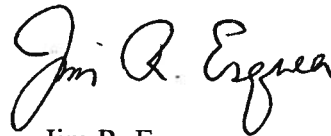
With respect to other discussions the Secretary has had with stakeholders that focused on Enroll America’s outreach efforts, and which are the subject of your letter, the Secretary has applauded the organization publicly and in private conversations numerous times and urged others to support its important work in a number of ways outside of financial contributions. The Secretary has publicly described Enroll America as a valuable partner in HHS’s efforts to reach Americans who will need information about their health insurance coverage options this fall.

In addition to the Secretary's public statements of support, over the last few months, at Enroll America's suggestion, she has made telephone calls to three other organizations – Kaiser Permanente, Johnson & Johnson, and Ascension Health – to ask that these corporate leaders support Enroll America's work through their own public expressions of support, and by providing the organization technical support and advice. Enroll America provided HHS's external affairs staff with potential talking points for the telephone calls to Ascension Health and Kaiser Permanente that included requests for financial contributions to Enroll America. However, the Enroll America talking points were not given to or used by the Secretary, and she did not request financial contributions from these entities, although, as explained above, financial assistance requests were well within the scope of her legal authority. Although the Secretary did not make such a request, we understand that Enroll America has received a financial contribution from at least one of these entities.

The Secretary is proud to be working with these and other industry leaders in the effort to ensure that eligible Americans are given the tools and information they need to enroll in newly available coverage this fall.

We hope the foregoing information about the Secretary's authority, and outreach telephone calls and meetings addresses your concerns. We look forward to working with you.

Sincerely,

A handwritten signature in black ink that reads "Jim R. Esquea". The signature is written in a cursive, flowing style.

Jim R. Esquea
Assistant Secretary for Legislation